

**NEIGHBORHOOD DEVELOPMENT FUND**  
**Not-for-Profit Transmittal and Approval Form**

MAY 21 2015 PM 17:22

**Applicant/Program:** Louisville Asset Building Coalition/ VITA Program

**Executive Summary of Request:** Neighborhood Development Funding will be directed to the Louisville Asset Building Coalition for costs associated with the Volunteer Income Tax Assistance (VITA) program. The VITA program provides income tax preparation to low-income individuals to help maximize the return to the individual.

Is this program/project a fundraiser?

☐ Yes ☒ No

Is this applicant a faith based organization?

☐ Yes ☒ No

Does this application include funding for sub-grantee(s)?

☐ Yes ☒ No

I have reviewed the attached Neighborhood Development Fund Application and have found it complete and within Metro Council guidelines and request approval of funding in the following amount(s). I have read the organization's statement of public purpose to be furthered by the funds requested and I agree that the public purpose is legitimate. I have also completed the disclosure section below, if required.

14  
District #

Cindi Fowler  
Primary Sponsor Signature

\$5000.00  
Amount

4-22-2015  
Date

**Primary Sponsor Disclosure**

List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.

**Approved by:**

\_\_\_\_\_  
Appropriations Committee Chairman

\_\_\_\_\_  
Date

**Clerk's Office Only:**


Request Amount: \_\_\_\_\_ Committee Amended Appropriation: \_\_\_\_\_

Original Appropriation: \_\_\_\_\_ Council Amended Appropriation: \_\_\_\_\_

**OFFICE OF METRO COUNCIL CLERK**  
**REVIEWED**

DATE 5/21/15 TIME 3:16

## NDF NON-PROFIT APPLICATION CHECKLIST

<b>Legal Name of Applicant Organization:</b> Louisville Asset Building Coalition, Inc.		
Program Name: VITA Program	Request Amount: \$47,000.00	Yes/No/NA
<b>Request form:</b> Is the NDF request form signed by all Council Member(s) appropriating funding?		YES
<b>Request form:</b> Is the funding proposed less than or equal to the request amount?		YES
<b>Request form:</b> Have all known Council or Staff relationships to the Agency been adequately disclosed on the cover sheet?		YES
<b>Application Page 1:</b> Has prior Metro funds committed/granted been disclosed?		YES
<b>Application Page 1:</b> Is the application properly signed and dated by authorized signatory?		YES
<b>Application Page 3:</b> Reimbursement funding – One or two boxes checked if any expenses are incurred before the grant award period. Is all required documentation included?		YES
<b>Application Pages 3 – 5:</b> Is the proposed public purpose of the program well-documented?		YES
<b>Application 4:</b> Is there adequate documentation of how the proceeds of the fundraiser will be spent?		N/A
<b>Application Budget Page 6:</b> Does the application budget reflect only the revenue and expenses of the project/program (page 6) if the request is not an operating budget request? Is all detail schedules included for “Metro, Non Metro and Total” expense funds for client assistance, community events & festivals and other expenses? And does the Non-Metro Revenue equal the Non-Metro expenses?		YES
<b>Faith Based Organizations:</b> Is the signed Faith Based Form signed and included?		N/A
<b>Jefferson County Only:</b> Will all funding be spent in Louisville/Jefferson County?		YES
<b>Capital Project(s) request:</b> Is the cost estimate(s) from proposed vendor(s) included?		N/A
<b>Good Standing:</b> Is the entity in good standing with: <ul style="list-style-type: none"> <li>• Kentucky Secretary of State – include Secretary of State website information on organization</li> <li>• Louisville Metro Government – check OMB monthly report filed in Council Financial Reports</li> <li>• Internal Revenue Service – most recent Form 990 included</li> </ul>		YES YES YES
<b>Separate Taxing Districts:</b> If Metro funding is for a separate taxing district, is the funding appropriated for a program outside the legal responsibility of that taxing district?		N/A
<b>Small Cities:</b> Is the resolution included agreeing to partner with Louisville Metro on the capital project? (IRS Determination letter not required, Form 990 not required, but KY SOS acknowledgement is)		N/A
<b>Operating Requests:</b> Is recommended operating funding less than or equal to 33% of total operating budget?		N/A
<b>IRS Exempt Proof:</b> Is proof of Tax Exempt status of 501(c) 3, 4, 6, 19, 1120-H included?		YES
<b>Operating Budget:</b> Is the organization’s current fiscal year operating budget included?		YES
<b>Ordinance Required:</b> Is the amount committed by Council members greater than \$5,000 to any one project/program within an organization in this fiscal year.		YES
<b>Board Members:</b> Is the entity’s board member list (with term length/term limits) included?		YES
<b>Staff:</b> Is a list of the highest paid staff included with their expected annual personnel costs?		YES
<b>Annual Audit:</b> Is the most recent annual audit (if required by organization) included?		YES
<b>Rent Requests:</b> Is a copy of signed lease included?		N/A
<b>Articles of Incorporation:</b> Are the Articles of Incorporation of the organization included?		YES
<b>IRS Form W-9:</b> Is the IRS Form W-9 included?		YES
<b>Evaluation Forms:</b> Are the evaluation forms (if program participants are given evaluation forms) included?		N/A
<b>Affirmative Action:</b> Affirmative Action/Equal Employment Opportunity plan and/or policy statement included (if required by the organization)?		N/A
<b>Prepared by:</b>  <b>Date:</b> 4/25/15		



## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 1 – APPLICANT INFORMATION			
<b>Legal Name of Applicant Organization:</b>		<b>Louisville Asset Building Coalition</b>	
(as listed on: <a href="http://www.sos.ky.gov/business/records">http://www.sos.ky.gov/business/records</a> )			
<b>Main Office Street &amp; Mailing Address:</b>			
Website: <a href="http://www.labcservices.org">www.labcservices.org</a>			
<b>Applicant Contact:</b>	Ron Hatch	<b>Title:</b>	Executive Director
<b>Phone:</b>	(502) 882-5490	<b>Email:</b>	ron.hatch@labcservices.org
<b>Financial Contact:</b>	Barbara Laskey	<b>Title:</b>	Partner
<b>Phone:</b>	(502) 584-9793	<b>Email:</b>	Blaskey@ablw-cpas.com
<b>Organization's Representative who attended NDF Training:</b> Ron Hatch & Nedra Young			
<b>GEOGRAPHICAL AREA(S) WHERE PROGRAM ACTIVITIES ARE (WILL BE) PROVIDED</b>			
<b>Program Facility Location(s):</b>	118 W. Breckinridge		
<b>Council District(s):</b>	All	<b>Zip Code(s):</b>	All
SECTION 2 – PROGRAM REQUEST & FINANCIAL INFORMATION			
<b>PROGRAM/PROJECT NAME:</b> Volunteer Income Tax Assistance (VITA)			
<b>Total Request: (\$)</b>	47,000	<b>Total Metro Award (this program) in previous year: (\$)</b>	35,550
<b>Purpose of Request (check all that apply):</b> <input type="checkbox"/> Operating Funds (generally cannot exceed 33% of agency's total operating budget) <input checked="" type="checkbox"/> Programming/services/events for direct benefit to community or qualified individuals <input type="checkbox"/> Capital Project of the organization (equipment, furnishing, building, etc)			
<b>The Following are Required Attachments:</b> <div style="display: flex; flex-wrap: wrap;"> <div style="width: 50%;"> <input checked="" type="checkbox"/> IRS Exempt Status Determination Letter  <input checked="" type="checkbox"/> Current Year Projected Budget  <input checked="" type="checkbox"/> List of Board of Directors (include term &amp; term limits)  <input checked="" type="checkbox"/> Current financial statement  <input checked="" type="checkbox"/> Most recent IRS Form 990 or 1120-H  <input checked="" type="checkbox"/> Articles of Incorporation  <input type="checkbox"/> Cost estimates from proposed vendor if request is for capital expense             </div> <div style="width: 50%;"> <input type="checkbox"/> Signed lease if rent costs are being requested  <input checked="" type="checkbox"/> IRS Form W9  <input type="checkbox"/> Evaluation forms if used in the proposed program  <input type="checkbox"/> Annual audit (if required by organization)  <input type="checkbox"/> Faith Based Organization Certification Form, if required  <input checked="" type="checkbox"/> Staff including the 3 highest paid staff             </div> </div>			
<b>For the current fiscal year ending June 30,</b> list all funds appropriated and/or received from Louisville Metro Government for this or any other program or expense, including funds received through Metro Federal Grants, from any department or Metro Council Appropriation (Neighborhood Development Funds). Attach additional sheet if necessary.			
<b>Source:</b>	Family Service	<b>Amount: (\$)</b>	7,800
<b>Source:</b>	NDF	<b>Amount: (\$)</b>	15,000
<b>Source:</b>	NDF	<b>Amount: (\$)</b>	20,550
Has the applicant contacted the BBB Charity Review for participation? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Has the applicant met the BBB Charity Review Standards? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			



## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

### SECTION 3 – AGENCY DETAILS

**Describe Agency's Vision, Mission and Services:**

The Louisville Asset Building Coalition's (LABC's) mission is "to promote financial stability through economic success". Our primary program involves assisting low-moderate income individuals in filing their tax returns and correctly securing tax credits that increase financially stable.

The original partnership that became LABC began in 2002 and included support from United Way, Annie E Casey and the Metro Government. The original programmatic partners included the Center for Women and Families, Louisville Urban League, Louisville Central Community Center, Americana Community Center and Wesley House. MUW was the sponsoring and fiduciary agent until January 2014. LABC incorporated in 2012 and received tax exempt status from the IRS in November 2014.

Free federal and state income tax preparation is the core service provided in conjunction with community partners and volunteers targeting low to moderate income working individuals and families. In addition, we link clients to partners that help them open bank accounts for securing refunds, and provide opportunities for customers to access additional financial counseling and other services through LABC and referrals to other partner organizations, e.g., FAFSA application assistance through LABC; free energy audits offered by LG&E.

In addition, for several months during the summer and fall, we offer free financial empowerment classes at community centers and schools.

Through our relationships with other community organizations and government agencies, we also promote programs designed to assist families. Examples of this is our work with Metro United Way in promoting the 'Ages and Stages' program and soliciting customer survey data regarding the proposed Kentucky earned income tax credit.



## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

### SECTION 4 – PROGRAM/PROJECT NARRATIVE

**A: Describe the program/project start and end dates, a description of the program/project and applicable data with regards to specific client population the program will address (attach related flyers, planning minutes, designs, event permits, proposals for services/goods, etc.):**

Free tax preparation services for individuals and families earning \$52,000 or less are currently offered year round. During tax season, from January through April 15th, tax preparation services are offered at a variety of locations throughout the county. Our tax sites are selected based on their accessibility by customers in our target markets (see list of current year tax sites, attached). We also assist clients who want to file their own taxes using two free tax preparation software options. Outside of tax season, tax preparation is available in our office on West Breckinridge St.

In 2015 we anticipate assisting more than 15,000 filers and generating close to \$25,000,000 in returns. In addition to the returns, we anticipate referring clients that:

- Do not have a bank account will be referred to Bank of Louisville to establish a banking relationship. Bank of Louisville estimates that individuals without banking relationships will spend more \$40,000 over their life time on check cashing and other fees.
- Own their home will be referred to a free energy audit sponsored by LG&E.
- Have a dependent under six will be referred to MUW's Ages and Stages program to help them get to school ready to succeed.
- Have a child in college or planning to attend college in the next year will be offered the opportunity to complete their FAFSA application as part of the tax preparation at no cost.

**B: Describe specifically how the funding will be spent including identification of funding to sub grantee(s):**

NDF funds will be used to support the operation of more than 20 free tax sites located throughout Jefferson County that operate from mid-January through mid April as well the preparation of taxes that are prepared from mid-April through November primarily out of our office on Breckinridge Street.. This will cover approximately 17.5% of the cost of the 2015 filing season. The funds will support 4.5 FTE staff that work year round and several seasonal employees (late Jan through April 15) as well as materials and supplies used by the tax sites (primarily toner, paper, staplers, etc.) and equipment (4 printers and 7 laptop computers and related software).



## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

**C: If this request is a fundraiser, please detail how the proceeds will be spent:**

Not applicable.

**D: For Expenditure Reimbursement Only** – The grant award period begins with the Metro Council approval date and ends on June 30 of Metro fiscal year in which the grant is approved. If any part of this funding request is for funds to be spent before the grant award period, identify the applicable circumstances:

☐ Effective October 24, 2013, reimbursements should not be made unless an emergency can be demonstrated by the primary council sponsor. The funding request is a reimbursement of the following expenditures (attach invoices or proof of payment):

- ✓ Attach a copy of invoices and/or receipts to provide proof of purchase of activities associated with the work plan identified in this application.
- ✓ Attach a copy of cancelled checks to provide proof of payment of the invoices or receipts associated with the work plan identified in this application.

☒ The funding request is a reimbursement of the following expenditures that will probably be incurred after the application date, but prior to the execution of the grant agreement:

- ✓ If selecting this option, the invoice, receipt and payment documentation should not be available as of the date of this application.

The Grantee will be required to submit financial reporting in accordance with the reporting schedule provided in the grant agreement.





## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

**E: Describe the program's benefits to those being served (measurable outcomes). Include the program's process for collecting data and the indicators that will be tracked to measure the benefits to those being served:**

Last year, the Coalition completed 14,956 returns benefiting more than 21,000 individuals. This brought back almost \$24,000,000 to individuals and families; money that is spent largely in our community. The program served clients from nearly every zip code in Jefferson County and 581 clients from outside of the county.. Please see the attached list of clients served for more detail. More than 98% of our clients had household incomes that were less than \$50,000. Thirty five percent of our clients had incomes below poverty level and 44% had incomes between poverty and less than 200% of poverty level.

Our data is based on information from our tax preparation software, Taxwise and customer surveys requested of every client receiving tax preparation services. The customer survey information is compiled through Taxwise software and/or entered into a database by our staff and volunteers. The program and the Taxwise software is administered under the direction of the Internal Revenue Service.

The following client story is illustrative of the impact that our work has on the lives of the clients we serve: A single mother that was a client of Family Scholar House received a flyer in her 3 year olds Early Childhood backpack and came to one of our sites. She indicated that she had been letting a friend prepare her taxes and decided to let someone else do so. She had a copy of the last two years with her when she arrived at the tax site. The mother's Adjusted Gross Income was \$21,500 and she was a full time student. We were able to prepare the tax return and her refund was \$10,300. It included Earned Income Tax, Child Tax, Additional Child Tax and American Opportunity Credits. She cried and told us that the refund would help her pay tuition, pay a couple of bills and save the rest. The Site Coordinator amended two years' of prior returns and the client received another \$2000.

**F: Briefly describe any existing collaborative relationships the organization has with other community organizations. Describe what those partners are bringing to the relationship in general and to this program/project specifically.**

The Coalition is, by nature and necessity, a collaborative venture. It was establish by a collection of funders and community partners that had an interest in strengthening the economic well-being of clients and the broader community. The tax preparation service is currently provided at nine fixed sites (Americana Community Center, Bates Community Development Center, LABC, Louisville Central Community Center, Louisville Urban League, Portland Promise Center, Salt and Light CDC, Sun Valley Community Center and Wesley House) and more than fifteen mobile sites (University of Louisville, Jewish Family Career Services, Daymar College, Family Scholar House, United Crescent Hill Ministries, Neighborhood House, Center for Accessible Living and Amazon). All of these partners provide access to clients as well as space and other resources that assist in the tax preparation.

We also work very closely with other community partners to connect our clients to other existing services including Bank on Louisville (establish banking relationships for the unbanked), LG&E (provides energy audits for homeowners) and Metro United Way (connects clients with young children to programs that help children get to kindergarten ready to succeed).

We have a very small staff (less than 5 FTE's) so our program depends on volunteers that work as greeters and tax preparers. In 2015, we will have close to 400 volunteers that serve in this capacity. We work closely with Metro United Way, Bellarmine and U of L to recruit and place the volunteers. The two universities provide us with access to students pursuing degrees in business, accounting and law.



## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

### SECTION 5 – PROGRAM/PROJECT BUDGET SUMMARY

THE PROGRAM/PROJECT BUDGET SHOULD REALISTICALLY ESTIMATE WHAT AMOUNT IS NEEDED FROM METRO GOVERNMENT AND WHAT IS EXPECTED FROM OTHER SOURCES.

Program/Project Expenses	Column 1	Column 2	Column (1+2)=3
	Proposed Metro Funds	Non- Metro Funds	Total Funds
<b>A: Personnel Costs Including Benefits</b>	\$35,000	\$169,500	\$204,500
<b>B: Rent/Utilities</b>	0	0	0
<b>C: Office Supplies</b>	\$3,000	\$5,000	\$8,000
<b>D: Telephone</b>	0	0	0
<b>E: In-town Travel</b>	0	0	0
<b>F: Client Assistance (Attach Detailed List)</b>	0	0	0
<b>G: Professional Service Contracts</b>	0	\$8,980	\$8,980
<b>H: Program Materials</b>	0	0	0
<b>I: Community Events &amp; Festivals (Attach Detail List)</b>	0	\$1,600	\$1,600
<b>J: Machinery &amp; Equipment</b>	\$7,000	\$12,500	\$19,500
<b>K: Capital Project</b>	0	0	0
<b>L: Other Expenses (Attach Detail List)</b>	0	\$24,950	\$24,490
<b>*TOTAL PROGRAM/PROJECT FUNDS</b>	<b>\$47,000</b>	<b>\$220,530</b>	<b>\$267,530</b>
<b>% of Program Budget</b>	<b>17.5 %</b>	<b>82.5 %</b>	<b>100%</b>

List funding sources for total program/project costs in Column 2, Non-Metro Funds:

Other State, Federal or Local Government	\$ 119,000
United Way	\$ 34,822
Private Contributions (do not include individual donor names)	\$ 66,200
Fees Collected from Program Participants	\$ 0
Other (please specify)	\$ 0
<b>Total Revenue for Columns 2 Expenses **</b>	<b>\$ 267,530</b>

*\*Total of Column 1 MUST match "Total Request on Page 1, Section 2"*

*\*\*Must equal or exceed total in column 2.*





## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

**Detail of In-Kind Contributions for this PROGRAM only:** Includes Volunteers, Space, Utilities, etc. (Include anything not bought with cash revenues of the agency).

Donor*/Type of Contribution	Value of Contribution	Method of Valuation
Volunteer Hours	362,550	16,000 hours times \$22.66
Rent, utilities, telephone and internet	24,396	Ave per sq foot and estimated.
<i>Total Value of In-Kind</i> <i>(to match Program Budget Line Item.</i> <i>Volunteer Contribution &amp; Other In Kind)</i>	386,946	

\* DONOR INFORMATION REFERS TO WHO MADE THE IN KIND CONTRIBUTION. VOLUNTEERS NEED NOT BE LISTED INDIVIDUALLY, BUT GROUPED TOGETHER ON ONE LINE AS A TOTAL NOTING HOW MANY HOURS PER PERSON PER WEEK

Agency Fiscal Year Start Date:

Does your Agency anticipate a significant increase or decrease in your budget from the current fiscal year to the budget projected for next fiscal year? NO ☐ YES ☒

If YES, please explain:

Currently Family Scholar House provides LABC with free office space including; utilities, telephone and internet services. Family Scholar House has notified us that they will need to use our current space for a program that serves people that are aging out of foster care in 2016. Consequently, we will need to relocate and will most likely incur material additional operating costs. We will begin looking for new space this summer.

We will also review our service data after the completion of the filing season with an eye towards opening a couple of new sites next year.



## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

### SECTION 6 – CERTIFICATIONS & ASSURANCES

By signing Section 7 of the Grant Application, the authorized official signing for the applicant organization certifies and assures to the best of his or her knowledge and/or belief the following Assurances and Certifications. If there is any reason why one or more of the assurances or certifications listed cannot be certified or assured, please explain in writing and attach to this application.

#### Standard Assurances

1. Applicant understands this application and its attachments as well as any resulting grant agreement, reports and proof of expenditure is subject to Kentucky's open records law.
2. Applicant will establish safeguards to prohibit employees or any person that receives compensation from awarded funds from using their position for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
3. Applicant and any sub grantee will give Louisville Metro Government access to and the right to examine all paper or electronic records related to the awarded grant for up to five years of the grant agreement date.
4. Applicant assures compliance with the grant requirements and will monitor the performance of any third party (sub-grantee).
5. The Agency is in good standing with the Kentucky Secretary of State, Louisville Metro Government, the Jefferson County Revenue Commission, the Internal Revenue Service, and the Louisville Metro Human Relations Commission.
6. Applicant understands failure to provide the services, programs, or projects included in the agreement will result in funds being withheld or requested to be returned if previously disbursed.
7. Applicant understands they must return to Louisville Metro any unexpended funds by July 31 following the Metro Louisville's fiscal year end.
8. Applicant understands they must provide proof of all expenditures (canceled checks, receipts, paid invoices). The Applicant understands the failure to provide proof of expenditures as required in the grant agreement could result in funding being withheld or request to be returned if previously disbursed.
9. Applicant understands if this application is approved, the grant agreement will identify an award period that begins with the Metro Council approval date, and will end with June 30 of the fiscal year in which the grant is approved. Expenditures associated with this award expected to occur prior to the award period (approval date) must be disclosed in this application in order to be considered compliant with the grant agreement.
10. Applicant understands if we choose to incur expenditures prior to the approval of the application by the Metro Council, there is no guarantee that funding will be reimbursed, as the Council may choose not to award the application.
11. Applicant understands if the grant agreement is not returned to Louisville Metro within 90 days of its mailing to the applicant, the approval is automatically revoked.

#### Standard Certifications

1. The Agency certifies it will not use Louisville Metro Government funds for any religious, political or fraternal Activities.
2. The Agency has a written Affirmative Action/Equal Opportunity Policy.
3. The Agency does not discriminate in employment or in provision of any service/program/activity/event based on age, color, disabled status, national origin, race, religion, sex, gender identity or sexual orientation, or Vietnam era veteran status.
4. The Agency certifies it will not require clients, recipients, or beneficiaries to participate in religious, political, fraternal or like activities in order to receive services/benefits provided with Louisville Metro Government funds.
5. The Agency understands the Americans with Disabilities Act (ADA) and makes reasonable accommodations.

**Relationship Disclosure:** List below any relationship you or any member of your Board of Directors or employees has with any Councilperson, Councilperson's family, Councilperson's staff or any Louisville Metro Government employee.

### SECTION 7 – CERTIFICATIONS & ASSURANCES

I certify under the penalty of law the information in this application (including, without limitation, "Certifications and Assurances") is accurate to the best of my knowledge. I am aware my organization will not be eligible for funding if investigation at any time shows falsification. If falsification is shown after funding has been approved, any allocations already received and expended are subject to be repaid. I further certify that I am legally authorized to sign this application for the applying organization and have initialed each page of the application.

Signature of Legal Signatory:	<i>Ron Hatch</i>	Date:	3/31/2015
Legal Signatory: (please print):	Ron Hatch	Title:	Ex. Director
Phone:	(502) 882-5490	Extension:	
Email:	ron.hatch@labcservices.org		

**IRS EXEMPT STATUS  
DETERMINATION  
LETTER**

INTERNAL REVENUE SERVICE  
P. O. BOX 2508  
CINCINNATI, OH 45201

DEPARTMENT OF THE TREASURY

Date: **AUG 13 2014**

LOUISVILLE ASSET BUILDING COALITION  
INC  
C/O CHRISTINE N KOENIG  
9300 SHELBYVILLE RD STE 1100  
LOUISVILLE, KY 40222

Employer Identification Number:

DLN:

17053126301003

Contact Person:

CUSTOMER SERVICE

ID# 31954

Contact Telephone Number:

(877) 829-5500

Accounting Period Ending:

June 30

Public Charity Status:

170(b)(1)(A)(vi)

Form 990 Required:

Yes

Effective Date of Exemption:

February 22, 2012

Contribution Deductibility:

Yes

Addendum Applies:

No

Dear Applicant:

We are pleased to inform you that upon review of your application for tax exempt status we have determined that you are exempt from Federal income tax under section 501(c)(3) of the Internal Revenue Code. Contributions to you are deductible under section 170 of the Code. You are also qualified to receive tax deductible bequests, devises, transfers or gifts under section 2055, 2106 or 2522 of the Code. Because this letter could help resolve any questions regarding your exempt status, you should keep it in your permanent records.

Organizations exempt under section 501(c)(3) of the Code are further classified as either public charities or private foundations. We determined that you are a public charity under the Code section(s) listed in the heading of this letter.

For important information about your responsibilities as a tax-exempt organization, go to [www.irs.gov/charities](http://www.irs.gov/charities). Enter "4221-PC" in the search bar to view Publication 4221-PC, Compliance Guide for 501(c)(3) Public Charities, which describes your recordkeeping, reporting, and disclosure requirements.

Letter 947

LOUISVILLE ASSET BUILDING COALITION

We have sent a copy of this letter to your representative as indicated in your power of attorney.

Sincerely,

A handwritten signature in black ink, reading "Tamera Ripperda". The signature is written in a cursive style with a large, prominent "T" and "R".

Director, Exempt Organizations

# **LABC PROGRAM BUDGET**

# LOUISVILLE ASSET BUILDING COALITION OPERATING BUDGET

2014/2015

## Revenue

Contributions (Largely from Board Members)	1,200
IRS	67,000
Intuit	50,000
MUW	34,822
PNC	10,000
Republic Bank	3,000
Metro Gov	7,800
NDF Summer	15,000
Total Committed	188,822

## Pending

Metro Gov NDF	30,000
Metro Gov FS	30,000
BB&T	5,000
Total Pending	65,000
Total Committed and Pending	253,822

## Expenses

Salaries & Wages Permanent Staff & Seasonal Staff	175,000
Employee Benefits	12,000
Payroll Taxes	17,500
Accounting	500
occupancy	-
Professional Fees	8,480
Equipment Acquisition	7,500
Depreciation	30
Office Expense/program supplies	6,000
Volunteer Expenses	1,600
Conferences & Conventions	1,200
Maintenance	1,000
Storage	720
Miscellaneous	1,000
Mini Grants to Partners for Site Support	21,000
Total Expenses	253,530

## Expenses By Functional Category

Program Expenses	201,556
Management and General Expenses	42,847
Fundraising Expenses	9,127
Total Expenses	253,530



# BOARD OF DIRECTORS

LOUISVILLE BUILDING ASSET COALITION



**Louisville Asset Building Coalition  
2014-15  
Board Listing**

Scott Owens  
Louisville Kentucky 40202-3445  
502-419-2459  
[sowens@blueandco.com](mailto:sowens@blueandco.com)  
Terms: Year One of 2<sup>nd</sup> Three Year Term

Artie Robertson  
Louisville Urban League  
1535 West Broadway  
Louisville Kentucky 40203  
(502) 585-4622  
[arobertson@lul.org](mailto:arobertson@lul.org)  
Terms: Year One of 2<sup>nd</sup> Three Year Term

Peter Wayne  
Wyatt Tarrant & Combs LLC  
500 West Jefferson Street Suite 2800  
Louisville Kentucky 40202  
(502) 715-2823  
[pwayne@wyattfirm.com](mailto:pwayne@wyattfirm.com)  
Terms: Year One of 2<sup>nd</sup> Three Year Term

Delquan Dorsey  
Governor Office on Minority Empowerment  
700 Capitol Avenue, Ste. 132  
Frankfort, Avenue 40601  
(502) 564-2611  
[kyome@ky.gov](mailto:kyome@ky.gov)  
Terms: Year One of 2<sup>nd</sup> Three Year Term

Lisa Locke  
Community Development Specialist  
Federal Reserve Bank of St. Louis  
101 South 5<sup>th</sup> Street # 1920  
Louisville Kentucky 40202  
(502) 568-9292  
[Lisa.locke@stls.frb.org](mailto:Lisa.locke@stls.frb.org)  
Terms: Year One of 1<sup>st</sup> Three Year Term



Dwight Haygood Jr.  
Brown Forman Corporation  
850 Dixie Hwy  
Louisville Kentucky 40210  
C- (502) 396-7070 W- (502) 774-6506  
[dwight\\_haygood@b-f.com](mailto:dwight_haygood@b-f.com)  
Terms: Year One of 1<sup>st</sup> Three Year Term

Jim Blandford  
Klump & Blandford PSC  
1300 Gardiner Lane Suite 9  
Louisville Kentucky 40213  
C- (502) 552-3331 W- (502) 479-8540  
[jdblandford@gmail.com](mailto:jdblandford@gmail.com)  
Terms: Year One of 1<sup>st</sup> Three Year Term

Mark Farmer  
Wyatt Tarrant & Combs LLC  
500 West Jefferson Street Suite 2800  
Louisville Kentucky 40202  
(502) 562-7352  
[mfarmer@wyattfirm.com](mailto:mfarmer@wyattfirm.com)  
Terms: Year One of 1<sup>st</sup> Three Year Term

Micheal Raisor  
JCPS Vanhoose Education Center  
3332 Newburg Road  
Louisville Kentucky 40218  
(502) 485-7655  
[Micheal.raisor@jefferson.kyschools.us](mailto:Micheal.raisor@jefferson.kyschools.us)  
Terms: Year One of 1<sup>st</sup> Three Year Term

Eric Seto  
Blueprint Technologies  
(502) 751-1583  
[Eric.c.seto@gmail.com](mailto:Eric.c.seto@gmail.com)  
Terms: Year One of 1<sup>st</sup> Three Year Term

**IRS 990**

Form **990**Department of the Treasury  
Internal Revenue Service**Return of Organization Exempt From Income Tax**  
Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990 and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990)

OMB No. 1545-0047

**2013**Open to Public  
Inspection**A** For the 2013 calendar year, or tax year beginning **JUL 1, 2013** and ending **JUN 30, 2014****B** Check if applicable:

- ☐ Address change  
☐ Name change  
☒ Initial return  
☐ Terminated  
☐ Amended return  
☐ Application pending

**C** Name of organization**LOUISVILLE ASSET BUILDING COALITION**

Doing Business As

Number and street (or P.O. box if mail is not delivered to street address)

**118 WEST BRECKINRIDGE STREET**

Room/suite

**300**

City or town, state or province, country, and ZIP or foreign postal code

**LOUISVILLE, KY 40202****F** Name and address of principal officer: **RONALD HATCH**  
**SAME AS C ABOVE****D** Employer identification number**E** Telephone number**502-882-5490****G** Gross receipts \$ **201,436.****H(a)** Is this a group returnfor subordinates? ☐ Yes ☒ No**H(b)** Are all subordinates included? ☐ Yes ☐ No

If "No," attach a list. (see instructions)

**H(c)** Group exemption number ▶**I** Tax-exempt status: ☒ 501(c)(3) ☐ 501(c) ( ) ▶ (insert no.) ☐ 4947(a)(1) or ☐ 527**J** Website: **WWW.LABC SERVICES.ORG****K** Form of organization: ☒ Corporation ☐ Trust ☐ Association ☐ Other ▶**L** Year of formation: **2014** **M** State of legal domicile: **KY****Part I Summary**

<b>Activities &amp; Governance</b>	<b>1</b> Briefly describe the organization's mission or most significant activities: <b>TO PROMOTE FINANCIAL STABILITY THROUGH ECONOMIC SUCCESS.</b>		
	<b>2</b> Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets.		
	<b>3</b> Number of voting members of the governing body (Part VI, line 1a)	<b>3</b>	<b>10</b>
	<b>4</b> Number of independent voting members of the governing body (Part VI, line 1b)	<b>4</b>	<b>10</b>
	<b>5</b> Total number of individuals employed in calendar year 2013 (Part V, line 2a)	<b>5</b>	<b>0</b>
	<b>6</b> Total number of volunteers (estimate if necessary)	<b>6</b>	<b>373</b>
	<b>7 a</b> Total unrelated business revenue from Part VIII, column (C), line 12	<b>7a</b>	<b>0.</b>
<b>b</b> Net unrelated business taxable income from Form 990-T, line 34	<b>7b</b>	<b>0.</b>	
<b>Revenue</b>	<b>8</b> Contributions and grants (Part VIII, line 1h)	<b>Prior Year</b>	<b>Current Year</b>
	<b>9</b> Program service revenue (Part VIII, line 2g)		<b>201,417.</b>
	<b>10</b> Investment income (Part VIII, column (A), lines 3, 4, and 7d)		<b>0.</b>
	<b>11</b> Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)		<b>19.</b>
	<b>12</b> Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)		<b>201,436.</b>
<b>Expenses</b>	<b>13</b> Grants and similar amounts paid (Part IX, column (A), lines 1-3)		<b>1,800.</b>
	<b>14</b> Benefits paid to or for members (Part IX, column (A), line 4)		<b>0.</b>
	<b>15</b> Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)		<b>86,822.</b>
	<b>16a</b> Professional fundraising fees (Part IX, column (A), line 11e)		<b>0.</b>
	<b>b</b> Total fundraising expenses (Part IX, column (D), line 25) ▶ <b>3,928.</b>		
	<b>17</b> Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)		<b>20,539.</b>
	<b>18</b> Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)		<b>109,161.</b>
<b>19</b> Revenue less expenses. Subtract line 18 from line 12		<b>92,275.</b>	
<b>Net Assets or Fund Balances</b>	<b>20</b> Total assets (Part X, line 16)	<b>Beginning of Current Year</b>	<b>End of Year</b>
	<b>21</b> Total liabilities (Part X, line 26)		<b>95,421.</b>
	<b>22</b> Net assets or fund balances. Subtract line 21 from line 20		<b>3,146.</b>
			<b>92,275.</b>

**Part II Signature Block**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

<b>Sign Here</b>	Signature of officer		Date	
	<b>RONALD HATCH, EXECUTIVE DIRECTOR</b>			
<b>Paid Preparer Use Only</b>	Print/Type preparer's name	Preparer's signature	Date	Check if self-employed <input type="checkbox"/> PTIN
	<b>BARBARA A. LASKY</b>			
<b>Firm's name</b>	Firm's name ▶ <b>ANDERSON, BRYANT, LASKY &amp; WINSLOW, PSC</b>		Firm's EIN ▶	
	Firm's address ▶ <b>943 SOUTH FIRST STREET</b>		Phone no. <b>(502) 584-9793</b>	
		<b>LOUISVILLE, KY 40203</b>		

May the IRS discuss this return with the preparer shown above? (see instructions) ☒ Yes ☐ No

**Part III** Statement of Program Service AccomplishmentsCheck if Schedule O contains a response or note to any line in this Part III ☐**1** Briefly describe the organization's mission:**TO PROMOTE FINANCIAL STABILITY THROUGH ECONOMIC SUCCESS.****2** Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No

If "Yes," describe these new services on Schedule O.

**3** Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No

If "Yes," describe these changes on Schedule O.

**4** Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

**4a** (Code: ) (Expenses \$ 86,830. including grants of \$ 1,800.) (Revenue \$ )  
**OVER THE PAST THIRTEEN YEARS, LABC HAS PROVIDED FREE INCOME TAX PREPARATION TO OVER 86,000 INDIVIDUALS, SAVED INDIVIDUALS OVER \$19 MILLION DOLLARS IN TAX PREPARATION FEES AND GENERATED OVER \$118 MILLION DOLLARS IN TOTAL FEDERAL AND STATE REFUNDS.**

**4b** (Code: ) (Expenses \$ including grants of \$ ) (Revenue \$ )

**4c** (Code: ) (Expenses \$ including grants of \$ ) (Revenue \$ )

**4d** Other program services (Describe in Schedule O.)

(Expenses \$ including grants of \$ ) (Revenue \$ )

**4e** Total program service expenses **86,830.**

**Part IV Checklist of Required Schedules**

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A</i>	<b>1</b> X	
2 Is the organization required to complete Schedule B, Schedule of Contributors?	<b>2</b> X	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i>	<b>3</b>	X
4 <b>Section 501(c)(3) organizations.</b> Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II</i>	<b>4</b>	X
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>If "Yes," complete Schedule C, Part III</i>	<b>5</b>	X
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i>	<b>6</b>	X
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II</i>	<b>7</b>	X
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i>	<b>8</b>	X
9 Did the organization report an amount in Part X, line 21, for escrow or custodial account liability; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i>	<b>9</b>	X
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V</i>	<b>10</b>	X
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI</i>	<b>11a</b> X	
b Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII</i>	<b>11b</b>	X
c Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII</i>	<b>11c</b>	X
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX</i>	<b>11d</b>	X
e Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X</i>	<b>11e</b>	X
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X</i>	<b>11f</b>	X
12a Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI and XII</i>	<b>12a</b>	X
b Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional</i>	<b>12b</b>	X
13 Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i>	<b>13</b>	X
14a Did the organization maintain an office, employees, or agents outside of the United States?	<b>14a</b>	X
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? <i>If "Yes," complete Schedule F, Parts I and IV</i>	<b>14b</b>	X
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? <i>If "Yes," complete Schedule F, Parts II and IV</i>	<b>15</b>	X
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? <i>If "Yes," complete Schedule F, Parts III and IV</i>	<b>16</b>	X
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I</i>	<b>17</b>	X
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i>	<b>18</b>	X
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i>	<b>19</b>	X
20a Did the organization operate one or more hospital facilities? <i>If "Yes," complete Schedule H</i>	<b>20a</b>	X
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	<b>20b</b>	

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**Part IV Checklist of Required Schedules** (continued)

	Yes	No
<b>21</b> Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II		X
<b>22</b> Did the organization report more than \$5,000 of grants or other assistance to individuals in the United States on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III		X
<b>23</b> Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J		X
<b>24a</b> Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a		X
<b>24b</b> Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		
<b>24c</b> Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		
<b>24d</b> Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?		
<b>25a</b> <b>Section 501(c)(3) and 501(c)(4) organizations.</b> Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I		X
<b>25b</b> Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I		X
<b>26</b> Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? If so, complete Schedule L, Part II		X
<b>27</b> Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part III		X
<b>28</b> Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
<b>28a</b> A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV		X
<b>28b</b> A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV		X
<b>28c</b> An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV		X
<b>29</b> Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M		X
<b>30</b> Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M		X
<b>31</b> Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I		X
<b>32</b> Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II		X
<b>33</b> Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I		X
<b>34</b> Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1		X
<b>35a</b> Did the organization have a controlled entity within the meaning of section 512(b)(13)?		X
<b>35b</b> If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2		
<b>36</b> <b>Section 501(c)(3) organizations.</b> Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2		X
<b>37</b> Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI		X
<b>38</b> Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19?	X	

Note. All Form 990 filers are required to complete Schedule O

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**Part V** Statements Regarding Other IRS Filings and Tax ComplianceCheck if Schedule O contains a response or note to any line in this Part V ☐

		Yes	No
1a Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable	1a 0		
b Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable	1b 0		
c Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	1c		
2a Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	2a 0		
b If at least one is reported on line 2a, did the organization file all required federal employment tax returns?	2b		
Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)			
3a Did the organization have unrelated business gross income of \$1,000 or more during the year?	3a		X
b If "Yes," has it filed a Form 990-T for this year? If "No," to line 3b, provide an explanation in Schedule O	3b		
4a At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a		X
b If "Yes," enter the name of the foreign country: See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.			
5a Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a		X
b Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b		X
c If "Yes," to line 5a or 5b, did the organization file Form 8886-T?	5c		
6a Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?	6a		X
b If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	6b		
7 Organizations that may receive deductible contributions under section 170(c).			
a Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7a		X
b If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b		
c Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	7c		X
d If "Yes," indicate the number of Forms 8282 filed during the year	7d		
e Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e		X
f Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f		X
g If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	7g		
h If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	7h		
8 Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?	8		
9 Sponsoring organizations maintaining donor advised funds.			
a Did the organization make any taxable distributions under section 4966?	9a		
b Did the organization make a distribution to a donor, donor advisor, or related person?	9b		
10 Section 501(c)(7) organizations. Enter:			
a Initiation fees and capital contributions included on Part VIII, line 12	10a		
b Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b		
11 Section 501(c)(12) organizations. Enter:			
a Gross income from members or shareholders	11a		
b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)	11b		
12a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a		
b If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b		
13 Section 501(c)(29) qualified nonprofit health insurance issuers.			
a Is the organization licensed to issue qualified health plans in more than one state?	13a		
Note. See the instructions for additional information the organization must report on Schedule O.			
b Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	13b		
c Enter the amount of reserves on hand	13c		
14a Did the organization receive any payments for indoor tanning services during the tax year?	14a		X
b If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O	14b		

Form 990 (2013)

**Part VI Governance, Management, and Disclosure** For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI

☒**Section A. Governing Body and Management**

	1a	1b	Yes	No
1a Enter the number of voting members of the governing body at the end of the tax year	10			
If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.				
b Enter the number of voting members included in line 1a, above, who are independent		10		
2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?			2	X
3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person?			3	X
4 Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?			4	X
5 Did the organization become aware during the year of a significant diversion of the organization's assets?			5	X
6 Did the organization have members or stockholders?			6	X
7a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?			7a	X
b Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?			7b	X
8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:				
a The governing body?			8a	X
b Each committee with authority to act on behalf of the governing body?			8b	X
9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O			9	X

**Section B. Policies** (This Section B requests information about policies not required by the Internal Revenue Code.)

	Yes	No
10a Did the organization have local chapters, branches, or affiliates?		X
b If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?		
11a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	X	
b Describe in Schedule O the process, if any, used by the organization to review this Form 990.		
12a Did the organization have a written conflict of interest policy? If "No," go to line 13	X	
b Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	X	
c Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	X	
13 Did the organization have a written whistleblower policy?	X	
14 Did the organization have a written document retention and destruction policy?	X	
15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a The organization's CEO, Executive Director, or top management official		X
b Other officers or key employees of the organization		X
If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).		
16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?		X
b If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?		

**Section C. Disclosure**

17 List the states with which a copy of this Form 990 is required to be filed **KY**

18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.  
☒ Own website ☐ Another's website ☐ Upon request ☐ Other (explain in Schedule O)

19 Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization: **ANDERSON BRYANT LASKY & WINSLOW, PSC - 502-584-9793**  
**943 S FIRST STREET, LOUISVILLE, KY 40203**





**Part VIII** Statement of RevenueCheck if Schedule O contains a response or note to any line in this Part VIII ☐

		(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514	
<b>Contributions, Gifts, Grants and Other Similar Amounts</b>	<b>1 a</b> Federated campaigns	1a				
	<b>b</b> Membership dues	1b				
	<b>c</b> Fundraising events	1c				
	<b>d</b> Related organizations	1d				
	<b>e</b> Government grants (contributions)	1e	86,990.			
	<b>f</b> All other contributions, gifts, grants, and similar amounts not included above	1f	114,427.			
	<b>g</b> Noncash contributions included in lines 1a-1f: \$					
	<b>h</b> Total. Add lines 1a-1f		201,417.			
<b>Program Service Revenue</b>	<b>Business Code</b>					
	<b>2 a</b>					
	<b>b</b>					
	<b>c</b>					
	<b>d</b>					
	<b>e</b>					
	<b>f</b> All other program service revenue					
	<b>g</b> Total. Add lines 2a-2f					
<b>Other Revenue</b>	<b>3</b> Investment income (including dividends, interest, and other similar amounts)		19.		19.	
	<b>4</b> Income from investment of tax-exempt bond proceeds					
	<b>5</b> Royalties					
	<b>6 a</b> Gross rents	(i) Real				
		(ii) Personal				
	<b>b</b> Less: rental expenses					
	<b>c</b> Rental income or (loss)					
	<b>d</b> Net rental income or (loss)					
	<b>7 a</b> Gross amount from sales of assets other than inventory	(i) Securities				
		(ii) Other				
	<b>b</b> Less: cost or other basis and sales expenses					
	<b>c</b> Gain or (loss)					
	<b>d</b> Net gain or (loss)					
	<b>8 a</b> Gross income from fundraising events (not including \$ of contributions reported on line 1c). See Part IV, line 18	a				
	<b>b</b> Less: direct expenses	b				
	<b>c</b> Net income or (loss) from fundraising events					
	<b>9 a</b> Gross income from gaming activities. See Part IV, line 19	a				
	<b>b</b> Less: direct expenses	b				
	<b>c</b> Net income or (loss) from gaming activities					
	<b>10 a</b> Gross sales of inventory, less returns and allowances	a				
<b>b</b> Less: cost of goods sold	b					
<b>c</b> Net income or (loss) from sales of inventory						
<b>Miscellaneous Revenue</b>		<b>Business Code</b>				
<b>11 a</b>						
<b>b</b>						
<b>c</b>						
<b>d</b> All other revenue						
<b>e</b> Total. Add lines 11a-11d						
<b>12</b> Total revenue. See instructions.		201,436.	0.	0.	19.	

**Part IX** Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX ☒

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the United States. See Part IV, line 21	1,800.	1,800.		
2 Grants and other assistance to individuals in the United States. See Part IV, line 22				
3 Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	19,462.	15,375.	2,335.	1,752.
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	59,445.	55,503.	3,942.	
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)				
9 Other employee benefits				
10 Payroll taxes	7,915.	7,110.	629.	176.
11 Fees for services (non-employees):				
a Management				
b Legal				
c Accounting	445.		445.	
d Lobbying				
e Professional fundraising services. See Part IV, line 17				
f Investment management fees				
g Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Sch O.)	11,426.	1,823.	7,603.	2,000.
12 Advertising and promotion	3,307.	1,298.	2,009.	
13 Office expenses				
14 Information technology				
15 Royalties				
16 Occupancy				
17 Travel				
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings				
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	27.		27.	
23 Insurance				
24 Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a <b>VOLUNTEER EXPENSES</b>	1,566.	1,566.		
b <b>CONFERENCES/SEMINARS</b>	1,190.	1,190.		
c <b>MAINTENANCE</b>	1,000.		1,000.	
d <b>STORAGE RENTAL</b>	705.	705.		
e All other expenses	873.	460.	413.	
25 Total functional expenses. Add lines 1 through 24e	109,161.	86,830.	18,403.	3,928.
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation.				

Check here ☐ if following SOP 98-2 (ASC 958-720)



**Part X Balance Sheet**Check if Schedule O contains a response or note to any line in this Part X ☐

		(A) Beginning of year		(B) End of year
<b>Assets</b>	1 Cash - non-interest-bearing .....	0.	1	0.
	2 Savings and temporary cash investments .....		2	49,811.
	3 Pledges and grants receivable, net .....	0.	3	45,097.
	4 Accounts receivable, net .....	0.	4	
	5 Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L .....		5	
	6 Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instr). Complete Part II of Sch L .....		6	
	7 Notes and loans receivable, net .....		7	
	8 Inventories for sale or use .....		8	
	9 Prepaid expenses and deferred charges .....		9	
	10a Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D .....	10a 540.		
	b Less: accumulated depreciation .....	10b 27.	0.	10c 513.
	11 Investments - publicly traded securities .....		11	
	12 Investments - other securities. See Part IV, line 11 .....		12	
	13 Investments - program-related. See Part IV, line 11 .....		13	
	14 Intangible assets .....		14	
	15 Other assets. See Part IV, line 11 .....		15	
16 <b>Total assets. Add lines 1 through 15 (must equal line 34)</b> .....	0.	16	95,421.	
<b>Liabilities</b>	17 Accounts payable and accrued expenses .....	0.	17	3,146.
	18 Grants payable .....		18	
	19 Deferred revenue .....		19	
	20 Tax-exempt bond liabilities .....		20	
	21 Escrow or custodial account liability. Complete Part IV of Schedule D .....		21	
	22 Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L .....		22	
	23 Secured mortgages and notes payable to unrelated third parties .....		23	
	24 Unsecured notes and loans payable to unrelated third parties .....		24	
	25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D .....		25	
	26 <b>Total liabilities. Add lines 17 through 25</b> .....	0.	26	3,146.
<b>Net Assets or Fund Balances</b>	Organizations that follow SFAS 117 (ASC 958), check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.			
	27 Unrestricted net assets .....		27	57,453.
	28 Temporarily restricted net assets .....		28	34,822.
	29 Permanently restricted net assets .....		29	
	Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34.			
	30 Capital stock or trust principal, or current funds .....		30	
	31 Paid-in or capital surplus, or land, building, or equipment fund .....		31	
	32 Retained earnings, endowment, accumulated income, or other funds .....		32	
	33 <b>Total net assets or fund balances</b> .....	0.	33	92,275.
34 <b>Total liabilities and net assets/fund balances</b> .....	0.	34	95,421.	

Form 990 (2013)

**Part XI Reconciliation of Net Assets**Check if Schedule O contains a response or note to any line in this Part XI ☐

1	Total revenue (must equal Part VIII, column (A), line 12)	1	201,436.
2	Total expenses (must equal Part IX, column (A), line 25)	2	109,161.
3	Revenue less expenses. Subtract line 2 from line 1	3	92,275.
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	0.
5	Net unrealized gains (losses) on investments	5	
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	0.
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	92,275.

**Part XII Financial Statements and Reporting**Check if Schedule O contains a response or note to any line in this Part XII ☐

	Yes	No
1 Accounting method used to prepare the Form 990: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.		
2a Were the organization's financial statements compiled or reviewed by an independent accountant? _____ If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	2a	X
b Were the organization's financial statements audited by an independent accountant? _____ If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	2b	X
c If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? _____ If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.	2c	
3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133? _____	3a	X
b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits _____	3b	

Form 990 (2013)

**SCHEDULE A**  
(Form 990 or 990-EZ)

Department of the Treasury  
Internal Revenue Service

**Public Charity Status and Public Support**  
Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.  
▶ Attach to Form 990 or Form 990-EZ.

▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2013**

Open to Public  
Inspection

Name of the organization

LOUISVILLE ASSET BUILDING COALITION

Employer identification number

**Part I Reason for Public Charity Status** (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

- 1 ☐ A church, convention of churches, or association of churches described in section 170(b)(1)(A)(i).
- 2 ☐ A school described in section 170(b)(1)(A)(ii). (Attach Schedule E.)
- 3 ☐ A hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii).
- 4 ☐ A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state: \_\_\_\_\_
- 5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in section 170(b)(1)(A)(iv). (Complete Part II.)
- 6 ☐ A federal, state, or local government or governmental unit described in section 170(b)(1)(A)(v).
- 7 ☒ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in section 170(b)(1)(A)(vi). (Complete Part II.)
- 8 ☐ A community trust described in section 170(b)(1)(A)(vi). (Complete Part II.)
- 9 ☐ An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2). (Complete Part III.)
- 10 ☐ An organization organized and operated exclusively to test for public safety. See section 509(a)(4).
- 11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See section 509(a)(3). Check the box that describes the type of supporting organization and complete lines 11e through 11h.  
  - a ☐ Type I
  - b ☐ Type II
  - c ☐ Type III - Functionally integrated
  - d ☐ Type III - Non-functionally integrated
- e ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2).
- f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box ☐
- g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?
  - (i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization? ☐
  - (ii) A family member of a person described in (i) above? ☐
  - (iii) A 35% controlled entity of a person described in (i) or (ii) above? ☐
- h Provide the following information about the supported organization(s).

	Yes	No
11g(i)		
11g(ii)		
11g(iii)		

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-9 above or IRC section (see instructions))	(iv) Is the organization in col. (i) listed in your governing document?		(v) Did you notify the organization in col. (i) of your support?		(vi) Is the organization in col. (i) organized in the U.S.?		(vii) Amount of monetary support
			Yes	No	Yes	No	Yes	No	
<b>Total</b>									

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule A (Form 990 or 990-EZ) 2013

332021  
09-25-13

**Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ►	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") .....					201,417.	201,417.
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf .....						
3 The value of services or facilities furnished by a governmental unit to the organization without charge .....					19.	19.
4 <b>Total.</b> Add lines 1 through 3 .....					201,436.	201,436.
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f) .....						45,971.
6 <b>Public support.</b> Subtract line 5 from line 4.						155,465.

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ►	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
7 Amounts from line 4 .....					201,436.	201,436.
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources .....						
9 Net income from unrelated business activities, whether or not the business is regularly carried on .....						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.) .....						
11 <b>Total support.</b> Add lines 7 through 10 .....						201,436.
12 Gross receipts from related activities, etc. (see instructions) .....					12	
13 <b>First five years.</b> If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and <b>stop here</b> .....						<input checked="" type="checkbox"/>

**Section C. Computation of Public Support Percentage**

14 Public support percentage for 2013 (line 6, column (f) divided by line 11, column (f)) .....	14	%
15 Public support percentage from 2012 Schedule A, Part II, line 14 .....	15	%
16a <b>33 1/3% support test - 2013.</b> If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization .....		
b <b>33 1/3% support test - 2012.</b> If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization .....		
17a <b>10% -facts-and-circumstances test - 2013.</b> If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and <b>stop here.</b> Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization .....		
b <b>10% -facts-and-circumstances test - 2012.</b> If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and <b>stop here.</b> Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization .....		
18 <b>Private foundation.</b> If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions .....		

Schedule A (Form 990 or 990-EZ) 2013

**Part III Support Schedule for Organizations Described in Section 509(a)(2)**

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ►	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") .....						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose .....						
3 Gross receipts from activities that are not an unrelated trade or business under section 513 .....						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf .....						
5 The value of services or facilities furnished by a governmental unit to the organization without charge .....						
6 Total. Add lines 1 through 5 .....						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons .....						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year .....						
c Add lines 7a and 7b .....						
8 Public support. (Subtract line 7c from line 6.)						

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ►	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
9 Amounts from line 6 .....						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources .....						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975 .....						
c Add lines 10a and 10b .....						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on .....						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.) .....						
13 Total support. (Add lines 9, 10c, 11, and 12.)						
14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here .....						

**Section C. Computation of Public Support Percentage**

15 Public support percentage for 2013 (line 8, column (f) divided by line 13, column (f)) .....	15	%
16 Public support percentage from 2012 Schedule A, Part III, line 15 .....	16	%

**Section D. Computation of Investment Income Percentage**

17 Investment income percentage for 2013 (line 10c, column (f) divided by line 13, column (f)) .....	17	%
18 Investment income percentage from 2012 Schedule A, Part III, line 17 .....	18	%

- 19a 33 1/3% support tests - 2013. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization .....
- b 33 1/3% support tests - 2012. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization .....
- 20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions .....

## Part IV

**Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12.

Also complete this part for any additional information. (See instructions).

This image shows a single sheet of white paper with horizontal ruling lines. The lines are evenly spaced and run across the width of the page. There are no margins, text, or other markings on the paper.

**Schedule B**  
(Form 990, 990-EZ,  
or 990-PF)

Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.  
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and  
its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2013**

Name of the organization

LOUISVILLE ASSET BUILDING COALITION

Employer identification number

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☒ 501(c)( 3 ) (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation

☐ 527 political organization

Form 990-PF

☐ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the General Rule or a Special Rule.

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

**Special Rules**

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year ..... ▶ \$ .....

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2013)



Name of organization

Employer identification number

LOUISVILLE ASSET BUILDING COALITION

**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	INTUIT 601 PENNSYLVANIA AVE NW, N BUILDING, SUITE 200  WASHINGTON, DC 20004	\$ 50,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
2	INTERNAL REVENUE SERVICE 401 W. PEACHTREE ST, STOP 420D  ATLANTA, GA 30308	\$ 61,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
3	WALMART FOUNDATION 701 N. FAIRFAX ST  ALEXANDRIA, VA 22314	\$ 9,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
4	METRO GOVERNMENT 810 BARRET AVE, ROOM 233  LOUISVILLE, KY 40204	\$ 25,550.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
5	METRO UNITED WAY 334 E BROADWAY  LOUISVILLE, KY 40204	\$ 34,822.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)



Name of organization

Employer identification number

**LOUISVILLE ASSET BUILDING COALITION**

**Part III** Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once.) ► \$

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

**SCHEDULE D**  
**(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Supplemental Financial Statements**

▶ Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.  
▶ Attach to Form 990.

▶ Information about Schedule D (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990)

OMB No. 1545-0047

**2013**

Open to Public Inspection

Name of the organization

LOUISVILLE ASSET BUILDING COALITION

Employer identification number

**Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.** Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year .....		
2 Aggregate contributions to (during year) .....		
3 Aggregate grants from (during year) .....		
4 Aggregate value at end of year .....		
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? .....	<input type="checkbox"/> Yes	<input type="checkbox"/> No
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? .....	<input type="checkbox"/> Yes	<input type="checkbox"/> No

**Part II Conservation Easements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).

<input type="checkbox"/> Preservation of land for public use (e.g., recreation or education)	<input type="checkbox"/> Preservation of an historically important land area
<input type="checkbox"/> Protection of natural habitat	<input type="checkbox"/> Preservation of a certified historic structure
<input type="checkbox"/> Preservation of open space	

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

	Held at the End of the Tax Year
a Total number of conservation easements .....	2a
b Total acreage restricted by conservation easements .....	2b
c Number of conservation easements on a certified historic structure included in (a) .....	2c
d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register .....	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ .....

4 Number of states where property subject to conservation easement is located ▶ .....

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? .....

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶ .....

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ \$ .....

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? .....

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenues included in Form 990, Part VIII, line 1 .....

(ii) Assets included in Form 990, Part X .....

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:

a Revenues included in Form 990, Part VIII, line 1 .....

b Assets included in Form 990, Part X .....

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Significant Assets**

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

a ☐ Public exhibitiond ☐ Loan or exchange programsb ☐ Scholarly researche ☐ Other \_\_\_\_\_c ☐ Preservation for future generations

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets

to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No**Part IV Escrow and Custodial Arrangements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII and complete the following table:

c Beginning balance

d Additions during the year

e Distributions during the year

f Ending balance

	Amount
<b>1c</b>	
<b>1d</b>	
<b>1e</b>	
<b>1f</b>	

2a Did the organization include an amount on Form 990, Part X, line 21? ☐ Yes ☐ Nob If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII ☐**Part V Endowment Funds.** Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
<b>1a</b> Beginning of year balance					
<b>b</b> Contributions					
<b>c</b> Net investment earnings, gains, and losses					
<b>d</b> Grants or scholarships					
<b>e</b> Other expenditures for facilities and programs					
<b>f</b> Administrative expenses					
<b>g</b> End of year balance					

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

a Board designated or quasi-endowment ☐ %b Permanent endowment ☐ %c Temporarily restricted endowment ☐ %

The percentages in lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

(i) unrelated organizations

(ii) related organizations

	Yes	No
<b>3a(i)</b>		
<b>3a(ii)</b>		
<b>3b</b>		

b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R? ☐

4 Describe in Part XIII the intended uses of the organization's endowment funds.

**Part VI Land, Buildings, and Equipment.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
<b>1a</b> Land				
<b>b</b> Buildings				
<b>c</b> Leasehold improvements				
<b>d</b> Equipment				
<b>e</b> Other		540.	27.	513.
<b>Total.</b> Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10(c).)				513.

Schedule D (Form 990) 2013

**Part VII Investments - Other Securities.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives .....		
(2) Closely-held equity interests .....		
(3) Other .....		
(A) .....		
(B) .....		
(C) .....		
(D) .....		
(E) .....		
(F) .....		
(G) .....		
(H) .....		
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 12.) ▶		

**Part VIII Investments - Program Related.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) .....		
(2) .....		
(3) .....		
(4) .....		
(5) .....		
(6) .....		
(7) .....		
(8) .....		
(9) .....		
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 13.) ▶		

**Part IX Other Assets.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1) .....	
(2) .....	
(3) .....	
(4) .....	
(5) .....	
(6) .....	
(7) .....	
(8) .....	
(9) .....	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.) ▶	

**Part X Other Liabilities.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes .....	
(2) .....	
(3) .....	
(4) .....	
(5) .....	
(6) .....	
(7) .....	
(8) .....	
(9) .....	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.) ▶	

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII ☐

Schedule D (Form 990) 2013

Complete if the organization answered "Yes" to Form 990, Part IV, line 12a.

Complete if the organization answered "Yes" to Form 990, Part IV, line 12a.

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

[illegible]

**SCHEDULE O**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Supplemental Information to Form 990 or 990-EZ**

Complete to provide information for responses to specific questions on  
Form 990 or 990-EZ or to provide any additional information.  
▶ Attach to Form 990 or 990-EZ.

OMB No. 1545-0047

**2013**

Open to Public  
Inspection

▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization

LOUISVILLE ASSET BUILDING COALITION

Employer identification number

FORM 990, PART VI, SECTION B, LINE 11:

EXPLANATION: THE 990 TAX RETURN IS PREPARED BY A CPA FIRM. BEFORE THE  
RETURN IS FILED, A DRAFT OF THE RETURN IS REVIEWED BY THE BOARD.

FORM 990, PART VI, SECTION B, LINE 12C:

EXPLANATION: THE POLICY IS REVIEWED ANNUALLY BY THE BOARD.

FORM 990, PART VI, SECTION C, LINE 19:

EXPLANATION: GOVERNING DOCUMENTS AVAILABLE UPON REQUEST.

FORM 990, PART IX, LINE 11G, OTHER FEES:

OTHER PROFESSIONAL FEES:

PROGRAM SERVICE EXPENSES	1,823.
MANAGEMENT AND GENERAL EXPENSES	7,603.
FUNDRAISING EXPENSES	2,000.
TOTAL EXPENSES	11,426.
TOTAL OTHER FEES ON FORM 990, PART IX, LINE 11G, COL A	11,426.



**Depreciation and Amortization 990**  
(Including Information on Listed Property)  
▶ See separate instructions. ▶ Attach to your tax return.

Name(s) shown on return

Business or activity to which this form relates

Identifying number

LOUISVILLE ASSET BUILDING COALITION

FORM 990 PAGE 10

**Part I Election To Expense Certain Property Under Section 179** Note: If you have any listed property, complete Part V before you complete Part I.

1	Maximum amount (see instructions)	1	500,000.
2	Total cost of section 179 property placed in service (see instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation	3	2,000,000.
4	Reduction in limitation. Subtract line 3 from line 2. If zero or less, enter -0-	4	
5	Dollar limitation for tax year. Subtract line 4 from line 1. If zero or less, enter -0-. If married filing separately, see instructions	5	
6	(a) Description of property	(b) Cost (business use only)	(c) Elected cost
7	Listed property. Enter the amount from line 29	7	
8	Total elected cost of section 179 property. Add amounts in column (c), lines 6 and 7	8	
9	Tentative deduction. Enter the smaller of line 5 or line 8	9	
10	Carryover of disallowed deduction from line 13 of your 2012 Form 4562	10	
11	Business income limitation. Enter the smaller of business income (not less than zero) or line 5	11	
12	Section 179 expense deduction. Add lines 9 and 10, but do not enter more than line 11	12	
13	Carryover of disallowed deduction to 2014. Add lines 9 and 10, less line 12	13	

Note: Do not use Part II or Part III below for listed property. Instead, use Part V.

**Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property.)**

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year	14	
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	

**Part III MACRS Depreciation (Do not include listed property.) (See instructions.)**

**Section A**

17	MACRS deductions for assets placed in service in tax years beginning before 2013	17	
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here		

**Section B - Assets Placed in Service During 2013 Tax Year Using the General Depreciation System**

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only - see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3-year property						
b 5-year property		540.	5 YRS.	MQ	200DB	27.
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs.		S/L	
h Residential rental property	/		27.5 yrs.	MM	S/L	
	/		27.5 yrs.	MM	S/L	
i Nonresidential real property	/		39 yrs.	MM	S/L	
	/			MM	S/L	

**Section C - Assets Placed in Service During 2013 Tax Year Using the Alternative Depreciation System**

20a Class life					S/L	
b 12-year			12 yrs.		S/L	
c 40-year	/		40 yrs.	MM	S/L	

**Part IV Summary (See instructions.)**

21	Listed property. Enter amount from line 28	21	
22	Total. Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21. Enter here and on the appropriate lines of your return. Partnerships and S corporations - see instr.	22	27.
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

**Part V****Listed Property** (Include automobiles, certain other vehicles, certain computers, and property used for entertainment, recreation, or amusement.)**Note:** For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete only 24a, 24b, columns (a) through (c) of Section A, all of Section B, and Section C if applicable.**Section A - Depreciation and Other Information** (Caution: See the instructions for limits for passenger automobiles.)

24a Do you have evidence to support the business/investment use claimed? <input type="checkbox"/> Yes <input type="checkbox"/> No		24b If "Yes," is the evidence written? <input type="checkbox"/> Yes <input type="checkbox"/> No						
(a) Type of property (list vehicles first)	(b) Date placed in service	(c) Business/investment use percentage	(d) Cost or other basis	(e) Basis for depreciation (business/investment use only)	(f) Recovery period	(g) Method/Convention	(h) Depreciation deduction	(i) Elected section 179 cost
25 Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use							25	
26 Property used more than 50% in a qualified business use:								
		%						
		%						
		%						
27 Property used 50% or less in a qualified business use:								
		%				S/L -		
		%				S/L -		
		%				S/L -		
28 Add amounts in column (h), lines 25 through 27. Enter here and on line 21, page 1							28	
29 Add amounts in column (i), line 26. Enter here and on line 7, page 1								29

**Section B - Information on Use of Vehicles**

Complete this section for vehicles used by a sole proprietor, partner, or other "more than 5% owner," or related person. If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles.

	(a) Vehicle		(b) Vehicle		(c) Vehicle		(d) Vehicle		(e) Vehicle		(f) Vehicle	
30 Total business/investment miles driven during the year (do not include commuting miles)												
31 Total commuting miles driven during the year												
32 Total other personal (noncommuting) miles driven												
33 Total miles driven during the year. Add lines 30 through 32												
34 Was the vehicle available for personal use during off-duty hours?	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
35 Was the vehicle used primarily by a more than 5% owner or related person?												
36 Is another vehicle available for personal use?												

**Section C - Questions for Employers Who Provide Vehicles for Use by Their Employees**

Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who are not more than 5% owners or related persons.

37 Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your employees?	Yes	No
38 Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? See the instructions for vehicles used by corporate officers, directors, or 1% or more owners		
39 Do you treat all use of vehicles by employees as personal use?		
40 Do you provide more than five vehicles to your employees, obtain information from your employees about the use of the vehicles, and retain the information received?		
41 Do you meet the requirements concerning qualified automobile demonstration use?		
<b>Note:</b> If your answer to 37, 38, 39, 40, or 41 is "Yes," do not complete Section B for the covered vehicles.		

**Part VI Amortization**

(a) Description of costs	(b) Date amortization begins	(c) Amortizable amount	(d) Code section	(e) Amortization period or percentage	(f) Amortization for this year
42 Amortization of costs that begins during your 2013 tax year:					
43 Amortization of costs that began before your 2013 tax year					43
44 Total. Add amounts in column (f). See the instructions for where to report					44

# Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

Department of the Treasury  
Internal Revenue Service

► **File a separate application for each return.**  
► Information about Form 8868 and its instructions is at [www.irs.gov/form8868](http://www.irs.gov/form8868).

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

**Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868.

**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on **e-file for Charities & Nonprofits**.

## **Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

**All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.**

Type or print	Name of exempt organization or other filer, see instructions.	Enter filer's identifying number
	LOUISVILLE ASSET BUILDING COALITION	Employer identification number (EIN) or
	Number, street, and room or suite no. If a P.O. box, see instructions.	Social security number (SSN)
File by the due date for filing your return. See instructions.	118 WEST BRECKINRIDGE STREET, NO. 300	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	LOUISVILLE, KY 40202	

Enter the Return code for the return that this application is for (file a separate application for each return)

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (Individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

**ANDERSON BRYANT LASKY & WINSLOW, PSC**

- The books are in the care of ► **943 S FIRST STREET - LOUISVILLE, KY 40203**

Telephone No. ► **502-584-9793**

Fax No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

**1** I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **FEBRUARY 15, 2015**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:  
 ► ☐ calendar year  or  
 ► ☒ tax year beginning **JUL 1, 2013**, and ending **JUN 30, 2014**

**2** If the tax year entered in line 1 is for less than 12 months, check reason: ☒ Initial return ☐ Final return  
☐ Change in accounting period

<b>3a</b> If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	<b>3a</b>	\$	<b>0.</b>
<b>b</b> If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	<b>3b</b>	\$	<b>0.</b>
<b>c</b> Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	<b>3c</b>	\$	<b>0.</b>

**Caution.** If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

# **ARTICLES OF INCORPORATIONS**

**LOUISVILLE ASSET BUILDING COALITION**

00071910802

0822309.09

bschell  
ADD

Allison Lundergan Grimes  
Kentucky Secretary of State  
Received and Filed:  
2/22/2012 10:18 AM  
Fee Receipt: \$8.00

**ARTICLES OF INCORPORATION**  
**OF**  
**LOUISVILLE ASSET BUILDING COALITION, INC.**

**WE THE UNDERSIGNED**, for purposes of forming a non-profit, non-stock corporation, under and pursuant to the laws of the Commonwealth of Kentucky, and more particularly Chapter 273, Kentucky Revised Statutes (KRS), hereby certify as follows:

**ARTICLE I**

The name of the Corporation shall be Louisville Asset Building Coalition, Inc.

**ARTICLE II**

The duration of the Corporation shall be perpetual.

**ARTICLE III**

The address of the registered office of the corporation is:

334 East Broadway  
Louisville, KY 40203

The name of the initial registered agent for service of process, located at such address is:

Nedra Young

The principal office of the Corporation is located at:

334 East Broadway  
Louisville, KY 40203

Other places of business in said city or elsewhere may be designated by resolution of the Board of Directors.

#### ARTICLE IV

The corporation is organized and shall be operated exclusively for charitable and educational purposes as described within Section 501(c)(3) of the Internal Revenue Code (or corresponding provisions of any later Federal tax laws), including for such purposes the making of distributions to organizations and individuals for the purpose of engaging in activity falling within the purposes of the Corporation and permitted for an organization exempt under said Section 501(c)(3).

More specifically, the purposes of the Corporation shall be as follows:

1. To assist low income individuals and families to attain economic success and financial stability through programs of information, education and direct service.
2. To develop asset-building opportunities for low income individuals and families, and to educate such individuals and families about existing asset-building opportunities.
3. To educate low and moderate income individuals about state and federal income tax laws, including through assisting them to prepare their income tax returns.
4. To engage in other educational and charitable activities consistent with these purposes.

#### ARTICLE V

The Corporation shall be irrevocably dedicated to, and operated exclusively for, non-profit purposes. No part of the net earnings of the Corporation shall inure to the benefit of or be distributable to its members, if any, directors, officers, or other private persons, except that the Corporation shall be authorized and empowered to pay reasonable compensation for services rendered and to make payments and distributions in furtherance of the purposes set forth in Article IV hereof.

#### ARTICLE VI

In carrying out the corporate purposes described in Article IV, the Corporation shall have all the powers granted by the laws of the Commonwealth of Kentucky, including in particular those listed in KRS 273.171 (or corresponding provision of any later Kentucky statute), except as follows and as otherwise stated in these Articles:

- A. No substantial part of the activities of the Corporation shall be the carrying on of propaganda, or otherwise attempting to influence legislation, and the Corporation

shall not participate in, or intervene in (including the publishing or distribution of statements), any political campaign on behalf of any candidate for public office.

B. Notwithstanding any other provision of these Articles, the Corporation shall not carry on any other activities not permitted to be carried on by (1) a corporation exempt from Federal income tax under Section 501(c)(3) of the Internal Revenue Code, or the corresponding provisions of any subsequent Federal tax laws, or (2) a corporation, contributions to which are deductible under Section 170(c)(2) of the Internal Revenue Code, or corresponding provisions of any later Federal tax laws.

C. If and so long as the Corporation is a private foundation as defined in Section 509(a) of the Internal Revenue Code, or corresponding provisions of any later Federal tax laws:

(1) the Corporation shall distribute its income for each taxable year at such time and in such manner as not to become subject to the tax on undistributed income imposed by Section 4942 of the Internal Revenue Code, or corresponding provisions of any later Federal tax laws;

(2) the Corporation shall not engage in any act of self-dealing as defined in Section 4941(d) of the Internal Revenue Code, or corresponding provisions of any later Federal tax laws;

(3) the Corporation shall not retain any excess business holdings as defined in Section 4943(c) of the Internal Revenue Code, or corresponding provisions of any later Federal tax laws;

(4) the Corporation shall not make any investments in such manner as to subject it to tax under Section 4944 of the Internal Revenue Code, or corresponding provisions of any later tax laws; and

(5) the Corporation shall not make any taxable expenditures as defined in Section 4945(d) of the Internal Revenue Code, or corresponding provisions of any later Federal tax laws.

# ARTICLE VII

The names and addresses of the incorporators are:

Peter H. Wayne, IV  
500 West Jefferson St., Ste. 2800  
Louisville, KY 40205

Francesca Curry  
2002 Manning Pl.  
La Grange, KY 40031

Rebecca Brady  
2000 Meidinger Tower  
462 S. 4<sup>th</sup> St.  
Louisville, KY 40202

Scott Owens  
2000 Meidinger Tower  
462 S. 4<sup>th</sup> St.  
Louisville, KY 40202

Delquan Dorsey  
700 Capitol Ave., Ste 138  
Frankfort, KY 40601

Artie Robertson  
1535 West Broadway  
Louisville, KY 40203

Marita Willis  
500 W. Jefferson St.  
Louisville, KY 40202

# ARTICLE VIII

The initial Board of Directors shall consist of seven (7) Directors. The names and addresses of the members of the initial Board of Directors are:

Peter H. Wayne, IV  
500 West Jefferson St., Ste. 2800  
Louisville, KY 40205

Francesca Curry  
2002 Manning Pl.  
La Grange, KY 40031

Rebecca Brady  
2000 Meidinger Tower  
462 S. 4<sup>th</sup> St.  
Louisville, KY 40202

Scott Owens  
2000 Meidinger Tower  
462 S. 4<sup>th</sup> St.  
Louisville, KY 40202

Delquan Dorsey  
700 Capitol Ave., Ste 138  
Frankfort, KY 40601

Artie Robertson  
1535 West Broadway  
Louisville, KY 40203

Marita Willis  
500 W. Jefferson St.  
Louisville, KY 40202



### ARTICLE IX

The initial By-Laws shall be adopted by the initial Board of Directors. Thereafter, the Corporation shall be governed by the By-Laws.

Any director may be removed from office by the Board of Directors whenever in the Board's judgment the best interests of the corporation will be served thereby. Notice of intent to remove must be sent to the Director in question at least fourteen (14) days prior to the meeting at which the action is to be taken. Said notice shall give the reasons for removal. A majority vote of the Directors present in a secret ballot, a quorum being present, shall be required for removal.

### ARTICLE X

A director, officer, employee or member of the Corporation shall not be personally liable for the acts or debts of the Corporation, except insofar as the member may become personally liable by reason of his or her own acts or conduct pursuant to KRS 273.187 (or corresponding provision of any later Kentucky statute).

No director of the Corporation shall be held personally liable to the corporation for monetary damages for breach of his or her duties as a director, except for under the following circumstances:

- (A) For any transaction in which the director's personal financial interest is in conflict with the financial interests of the corporation;
- (B) For acts or omissions not in good faith or which involve intentional misconduct or are known to the director to be a violation of law; or
- (C) For any transaction from which the director derived an improper personal benefit.

### ARTICLE XI

The Corporation may indemnify any director or officer or former director or officer of the Corporation against any expenses actually and reasonably incurred by him or her in connection with the defense of any action, suit or proceeding, civil or criminal, in which she or he is made a party by reason of being or having been such director or officer, except in relation to matters as to which she or he shall be adjudged in such action, suit or proceeding to be liable for negligence or misconduct in the performance of duty to the Corporation. The Corporation may make any other indemnification permitted by law and authorized by its Articles of Incorporation, or its By-laws or a resolution adopted after notice to members, if any, entitled to vote.

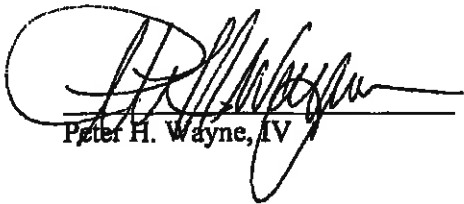
**ARTICLE XII**

In the event of dissolution of the Corporation, the Board of Directors shall pay or make provision for the payment of all liabilities of the Corporation. The remaining assets, if any, shall be distributed to Metro United Way, Inc. of Louisville, Kentucky, provided that it at that time qualifies as an exempt organization under Section 501(c)(3) of the Internal Revenue Code (or corresponding provisions of any later Federal tax laws). If Metro United Way, Inc. is not so qualified, then the remaining assets, if any, shall be distributed to one or more organizations organized and operated exclusively for charitable or educational purposes that at the time qualify as an exempt organization under Section 501(c)(3) of the Internal Revenue Code (or corresponding provisions of any later Federal tax laws), or to a state or local government for a public purpose, as the Board of Directors shall determine.

**ARTICLE XIII**

Amendments to these Articles shall be made by the Board of Directors pursuant to the provisions of KRS 273.263 (or corresponding provision of any later State statute).

IN TESTIMONY WHEREOF, witness the signature of the Incorporators of this Corporation, this 13 day of January, 2012.



Peter H. Wayne, IV

\_\_\_\_\_  
Francesca Curry

\_\_\_\_\_  
Rebecca Brady

\_\_\_\_\_  
Scott Owens

\_\_\_\_\_  
Delquan Dorsey

\_\_\_\_\_  
Artie Robertson

\_\_\_\_\_  
Marita Willis

STATE OF KENTUCKY )  
COUNTY OF JEFFERSON ) SS  
)

Before me, the undersigned authority, personally appeared Peter H. Wayne, IV; Francesca Curry; Rebecca Brady; Scott Owens; Delquan Dorsey; Artie Robertson; and Marita Willis and being duly sworn, acknowledged that they are, respectively, the Incorporators of the aforementioned Corporation, and that each signed the aforementioned Articles of Incorporation as his or her free act and deed.

Witness my signature and seal of office this 13<sup>th</sup> day of January, 2012.

My Commission Expires: May 25, 2014

*[Signature]*  
NOTARY PUBLIC  
STATE AT LARGE, KENTUCKY

**This Document Prepared By:**

**EILEEN L. ORDOVER**  
Attorney at Law  
**LEGAL AID SOCIETY, INC.**  
416 West Muhammad Ali Blvd.  
Louisville, Kentucky 40202  
(502) 584-1254

**ARTICLE XII**

In the event of dissolution of the Corporation, the Board of Directors shall pay or make provision for the payment of all liabilities of the Corporation. The remaining assets, if any, shall be distributed to Metro United Way, Inc. of Louisville, Kentucky, provided that it at that time qualifies as an exempt organization under Section 501(c)(3) of the Internal Revenue Code (or corresponding provisions of any later Federal tax laws). If Metro United Way, Inc. is not so qualified, then the remaining assets, if any, shall be distributed to one or more organizations organized and operated exclusively for charitable or educational purposes that at the time qualify as an exempt organization under Section 501(c)(3) of the Internal Revenue Code (or corresponding provisions of any later Federal tax laws), or to a state or local government for a public purpose, as the Board of Directors shall determine.

**ARTICLE XIII**

Amendments to these Articles shall be made by the Board of Directors pursuant to the provisions of KRS 273.263 (or corresponding provision of any later State statute).

IN TESTIMONY WHEREOF, witness the signature of the Incorporators of this Corporation, this 23 day of January, 2012.

\_\_\_\_\_  
Peter H. Wayne, IV

\_\_\_\_\_  
Francesca Curry

  
\_\_\_\_\_  
Rebecca Brady

\_\_\_\_\_  
Scott Owens

\_\_\_\_\_  
Delquan Dorsey

\_\_\_\_\_  
Artie Robertson

\_\_\_\_\_  
Marita Willis

STATE OF KENTUCKY )  
 ) SS  
COUNTY OF JEFFERSON )

Before me, the undersigned authority, personally appeared Peter H. Wayne, IV; Francesca Curry; Rebecca Brady; Scott Owens; Delquan Dorsey; Artie Robertson; and Marita Willis and being duly sworn, acknowledged that they are, respectively, the Incorporators of the aforementioned Corporation, and that each signed the aforementioned Articles of Incorporation as his or her free act and deed.

Witness my signature and seal of office this 23 day of January, 2012.

My Commission Expires: June 29, 2012

Kelly Ray  
NOTARY PUBLIC  
STATE AT LARGE, KENTUCKY

This Document Prepared By:

EILEEN L. ORDOVER  
Attorney at Law  
LEGAL AID SOCIETY, INC.  
416 West Muhammad Ali Blvd.  
Louisville, Kentucky 40202  
(502) 584-1254

**ARTICLE XII**

In the event of dissolution of the Corporation, the Board of Directors shall pay or make provision for the payment of all liabilities of the Corporation. The remaining assets, if any, shall be distributed to Metro United Way, Inc. of Louisville, Kentucky, provided that it at that time qualifies as an exempt organization under Section 501(c)(3) of the Internal Revenue Code (or corresponding provisions of any later Federal tax laws). If Metro United Way, Inc. is not so qualified, then the remaining assets, if any, shall be distributed to one or more organizations organized and operated exclusively for charitable or educational purposes that at the time qualify as an exempt organization under Section 501(c)(3) of the Internal Revenue Code (or corresponding provisions of any later Federal tax laws), or to a state or local government for a public purpose, as the Board of Directors shall determine.

**ARTICLE XIII**

Amendments to these Articles shall be made by the Board of Directors pursuant to the provisions of KRS 273.263 (or corresponding provision of any later State statute).

IN TESTIMONY WHEREOF, witness the signature of the Incorporators of this Corporation, this 18<sup>th</sup> day of January, 2012.

\_\_\_\_\_  
Peter H. Wayne, IV

\_\_\_\_\_  
Francesca Curry

\_\_\_\_\_  
Rebecca Brady

\_\_\_\_\_  
Scott Owens

\_\_\_\_\_  
Delquan Dorsey

\_\_\_\_\_  
Artie Robertson

*Marita Willis*  
Marita Willis

STATE OF KENTUCKY )  
 ) SS  
COUNTY OF JEFFERSON )

Before me, the undersigned authority, personally appeared Peter H. Wayne, IV; Francesca Curry; Rebecca Brady; Scott Owens; Delquan Dorsey; Artie Robertson; and Marita Willis and being duly sworn, acknowledged that they are, respectively, the Incorporators of the aforementioned Corporation, and that each signed the aforementioned Articles of Incorporation as his or her free act and deed.

Witness my signature and seal of office this 18<sup>th</sup> day of January, 2012.

My Commission Expires: May 25, 2014

*Melody A. Epperhaus*  
NOTARY PUBLIC  
STATE AT LARGE, KENTUCKY

This Document Prepared By:

EILEEN L. ORDOVER  
Attorney at Law  
LEGAL AID SOCIETY, INC.  
416 West Muhammad Ali Blvd.  
Louisville, Kentucky 40202  
(502) 584-1254

**ARTICLE XII**

In the event of dissolution of the Corporation, the Board of Directors shall pay or make provision for the payment of all liabilities of the Corporation. The remaining assets, if any, shall be distributed to Metro United Way, Inc. of Louisville, Kentucky, provided that it at that time qualifies as an exempt organization under Section 501(c)(3) of the Internal Revenue Code (or corresponding provisions of any later Federal tax laws). If Metro United Way, Inc. is not so qualified, then the remaining assets, if any, shall be distributed to one or more organizations organized and operated exclusively for charitable or educational purposes that at the time qualify as an exempt organization under Section 501(c)(3) of the Internal Revenue Code (or corresponding provisions of any later Federal tax laws), or to a state or local government for a public purpose, as the Board of Directors shall determine.

**ARTICLE XIII**

Amendments to these Articles shall be made by the Board of Directors pursuant to the provisions of KRS 273.263 (or corresponding provision of any later State statute).

IN TESTIMONY WHEREOF, witness the signature of the Incorporators of this Corporation, this 13<sup>th</sup> day of January, 2012.

\_\_\_\_\_  
Peter H. Wayne, IV

\_\_\_\_\_  
Francesca Curry

\_\_\_\_\_  
Rebecca Brady

\_\_\_\_\_  
Scott Owens

\_\_\_\_\_  
Delquan Dorsey

\_\_\_\_\_  
Artie Robertson

\_\_\_\_\_  
Marita Willis



STATE OF KENTUCKY )  
COUNTY OF JEFFERSON ) SS  
)

Before me, the undersigned authority, personally appeared Peter H. Wayne, IV; Francesca Curry; Rebecca Brady; Scott Owens; Delquan Dorsey; Artie Robertson; and Marita Willis and being duly sworn, acknowledged that they are, respectively, the Incorporators of the aforementioned Corporation, and that each signed the aforementioned Articles of Incorporation as his or her free act and deed.

Witness my signature and seal of office this 13<sup>th</sup> day of January, 2012.

My Commission Expires: May 25, 2014

*Melody A. Laperdus*  
NOTARY PUBLIC  
STATE AT LARGE, KENTUCKY

This Document Prepared By:

EILEEN L. ORDOVER  
Attorney at Law  
LEGAL AID SOCIETY, INC.  
416 West Muhammad Ali Blvd.  
Louisville, Kentucky 40202  
(502) 584-1254

**ARTICLE XII**

In the event of dissolution of the Corporation, the Board of Directors shall pay or make provision for the payment of all liabilities of the Corporation. The remaining assets, if any, shall be distributed to Metro United Way, Inc. of Louisville, Kentucky, provided that it at that time qualifies as an exempt organization under Section 501(c)(3) of the Internal Revenue Code (or corresponding provisions of any later Federal tax laws). If Metro United Way, Inc. is not so qualified, then the remaining assets, if any, shall be distributed to one or more organizations organized and operated exclusively for charitable or educational purposes that at the time qualify as an exempt organization under Section 501(c)(3) of the Internal Revenue Code (or corresponding provisions of any later Federal tax laws), or to a state or local government for a public purpose, as the Board of Directors shall determine.

**ARTICLE XIII**

Amendments to these Articles shall be made by the Board of Directors pursuant to the provisions of KRS 273.263 (or corresponding provision of any later State statute).

IN TESTIMONY WHEREOF, witness the signature of the Incorporators of this Corporation, this 18 day of January, 2012.

\_\_\_\_\_  
Peter H. Wayne, IV

\_\_\_\_\_  
Francesca Curry

\_\_\_\_\_  
Rebecca Brady

  
\_\_\_\_\_  
Scott Owens

\_\_\_\_\_  
Delquan Dorsey

\_\_\_\_\_  
Artie Robertson

\_\_\_\_\_  
Marita Willis

STATE OF KENTUCKY )  
 ) SS  
COUNTY OF JEFFERSON )

Before me, the undersigned authority, personally appeared Peter H. Wayne, IV; Francesca Curry; Rebecca Brady; Scott Owens; Delquan Dorsey; Artie Robertson; and Marita Willis and being duly sworn, acknowledged that they are, respectively, the Incorporators of the aforementioned Corporation, and that each signed the aforementioned Articles of Incorporation as his or her free act and deed.

Witness my signature and seal of office this 18<sup>th</sup> day of January, 2012.

My Commission Expires: May 25, 2014

*Melody A. Sapporhaus*  
NOTARY PUBLIC  
STATE AT LARGE, KENTUCKY

This Document Prepared By:

EILEEN L. ORDOVER  
Attorney at Law  
LEGAL AID SOCIETY, INC.  
416 West Muhammad Ali Blvd.  
Louisville, Kentucky 40202  
(502) 584-1254

**ARTICLE XII**

In the event of dissolution of the Corporation, the Board of Directors shall pay or make provision for the payment of all liabilities of the Corporation. The remaining assets, if any, shall be distributed to Metro United Way, Inc. of Louisville, Kentucky, provided that it at that time qualifies as an exempt organization under Section 501(c)(3) of the Internal Revenue Code (or corresponding provisions of any later Federal tax laws). If Metro United Way, Inc. is not so qualified, then the remaining assets, if any, shall be distributed to one or more organizations organized and operated exclusively for charitable or educational purposes that at the time qualify as an exempt organization under Section 501(c)(3) of the Internal Revenue Code (or corresponding provisions of any later Federal tax laws), or to a state or local government for a public purpose, as the Board of Directors shall determine.

**ARTICLE XIII**

Amendments to these Articles shall be made by the Board of Directors pursuant to the provisions of KRS 273.263 (or corresponding provision of any later State statute).

IN TESTIMONY WHEREOF, witness the signature of the Incorporators of this Corporation, this 18 day of January, 2012.

\_\_\_\_\_  
Peter H. Wayne, IV

\_\_\_\_\_  
Francesca Curry

\_\_\_\_\_  
Rebecca Brady

\_\_\_\_\_  
Scott Owens

\_\_\_\_\_  
Delquan Dorsey

  
\_\_\_\_\_  
Artie Robertson

\_\_\_\_\_  
Marita Willis

STATE OF KENTUCKY )  
 ) SS  
COUNTY OF JEFFERSON )

Before me, the undersigned authority, personally appeared Peter H. Wayne, IV; Francesca Curry; Rebecca Brady; Scott Owens; Delquan Dorsey; Artie Robertson; and Marita Willis and being duly sworn, acknowledged that they are, respectively, the Incorporators of the aforementioned Corporation, and that each signed the aforementioned Articles of Incorporation as his or her free act and deed.

Witness my signature and seal of office this 18<sup>th</sup> day of January, 2012

My Commission Expires: May 25, 2014

*Melody A. Lippard*  
NOTARY PUBLIC  
STATE AT LARGE, KENTUCKY

This Document Prepared By:

EILEEN L. ORDOVER  
Attorney at Law  
LEGAL AID SOCIETY, INC.  
416 West Muhammad Ali Blvd.  
Louisville, Kentucky 40202  
(502) 584-1254

**ARTICLE XII**

In the event of dissolution of the Corporation, the Board of Directors shall pay or make provision for the payment of all liabilities of the Corporation. The remaining assets, if any, shall be distributed to Metro United Way, Inc. of Louisville, Kentucky, provided that it at that time qualifies as an exempt organization under Section 501(c)(3) of the Internal Revenue Code (or corresponding provisions of any later Federal tax laws). If Metro United Way, Inc. is not so qualified, then the remaining assets, if any, shall be distributed to one or more organizations organized and operated exclusively for charitable or educational purposes that at the time qualify as an exempt organization under Section 501(c)(3) of the Internal Revenue Code (or corresponding provisions of any later Federal tax laws), or to a state or local government for a public purpose, as the Board of Directors shall determine.

**ARTICLE XIII**

Amendments to these Articles shall be made by the Board of Directors pursuant to the provisions of KRS 273.263 (or corresponding provision of any later State statute).

IN TESTIMONY WHEREOF, witness the signature of the Incorporators of this Corporation, this 20<sup>th</sup> day of January, 2012.

\_\_\_\_\_  
Peter H. Wayne, IV

\_\_\_\_\_  
Francesca Curry

\_\_\_\_\_  
Rebecca Brady

\_\_\_\_\_  
Scott Owens

Delquan Dorsey  
Delquan Dorsey

\_\_\_\_\_  
Artie Robertson

\_\_\_\_\_  
Marita Willis

STATE OF KENTUCKY           )  
   ) SS  
 COUNTY OF JEFFERSON       )

Before me, the undersigned authority, personally appeared Peter H. Wayne, IV; Francesca Curry; Rebecca Brady; Scott Owens; Delquan Dorsey; Artie Robertson; and Marita Willis and being duly sworn, acknowledged that they are, respectively, the Incorporators of the aforementioned Corporation, and that each signed the aforementioned Articles of Incorporation as his or her free act and deed.

Witness my signature and seal of office this 20<sup>th</sup> day of January, 2012.

My Commission Expires: May 25, 2014

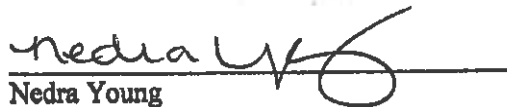
*Melody A. Sapperhaus*  
 NOTARY PUBLIC  
 STATE AT LARGE, KENTUCKY

This Document Prepared By:

*Eileen L. Ordovery*  
 EILEEN L. ORDOVER  
 Attorney at Law  
 LEGAL AID SOCIETY, INC.  
 416 West Muhammad Ali Blvd.  
 Louisville, Kentucky 40202  
 (502) 584-1254

**CONSENT OF INITIAL REGISTERED AGENT**

Pursuant to the provisions of KRS Chapter 273, the undersigned as the initial registered agent identified in Article III of the Articles of Incorporation of Louisville Asset Building Coalition, Inc. (the "Corporation"), hereby consents to serve the Corporation in that capacity until such time as such appointment is terminated or until the undersigned resigns in accordance with the Kentucky Revised Statutes.

  
Nedra Young

**END OF DOCUMENT**

Document No.: DN2012042055  
Lodged By: WYATT TARRANT & COMBS  
Recorded On: 03/22/2012 03:15:30  
Total Fees: 62.00  
Transfer Tax: .00  
County Clerk: BOBBIE HOLSCLOW-JEFF CO KY  
Deputy Clerk: TERNIG



**W9 FORM**

**Request for Taxpayer  
Identification Number and Certification**

**Give Form to the  
requester. Do not  
send to the IRS.**

Print or type  
See Specific Instructions on page 2.

1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank. <b>Louisville Asset Building Coalition</b>		
2 Business name/disregarded entity name, if different from above		
3 Check appropriate box for federal tax classification; check only one of the following seven boxes: <input type="checkbox"/> Individual/sole proprietor or single-member LLC <input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶ Note. For a single-member LLC that is disregarded, do not check LLC; check the appropriate box in the line above for the tax classification of the single-member owner. <input type="checkbox"/> Other (see instructions) ▶	4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3): Exempt payee code (if any) _____ Exemption from FATCA reporting code (if any) _____ <small>(Applies to accounts maintained outside the U.S.)</small>	
5 Address (number, street, and apt. or suite no.) <b>118 West Breckinridge</b>	Requester's name and address (optional)	
6 City, state, and ZIP code <b>Louisville, KY 40203</b>		
7 List account number(s) here (optional)		

**Part I Taxpayer Identification Number (TIN)**

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

**Note.** If the account is in more than one name, see the instructions for line 1 and the chart on page 4 for guidelines on whose number to enter.

Social security number	
<div></div>	<div></div>
or	
Employer identification number	
<div></div>	<div></div>

**Part II Certification**

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
- I am a U.S. citizen or other U.S. person (defined below); and
- The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

**Certification instructions.** You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 3.

Sign Here	Signature of U.S. person ▶ <i>[Signature]</i>	Date ▶ <i>1-28-2015</i>
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**General Instructions**

Section references are to the Internal Revenue Code unless otherwise noted.  
**Future developments.** Information about developments affecting Form W-9 (such as legislation enacted after we release it) is at [www.irs.gov/fw9](http://www.irs.gov/fw9).

**Purpose of Form**

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following:

- Form 1099-INT (interest earned or paid)
- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)

- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See *What is backup withholding?* on page 2.

By signing the filled-out form, you:

- Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
- Certify that you are not subject to backup withholding, or
- Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income, and
- Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct. See *What is FATCA reporting?* on page 2 for further information.

# STAFF LISTING



## **Staff Member Listing**

LABC's Executive Director, Ron Hatch, oversees all daily operations of LABC's VITA sites and leads the program's volunteer recruitment, training, and management efforts. Nedra Young is the VITA Coordinator who oversees all site operations, Mrs. Young coordinates regular communication among the 90+ LABC coalition members, and plans and facilitates year-round financial asset building activities. Ms. Young also manages volunteer recruitment and leads volunteer trainings in conjunction with the IRS's SPEC Office for all site coordinators. Ms. Young has coordinated FAST for the past four years, including leading the trainings and implementing an efficient process for self-file preparation. Ms. Young has a Bachelor's degree in Accounting from Sullivan University and holds certifications in TaxWise Online training, FDIC Money Smart, Women 4 Women financial curriculum, and is a certified IRS instructor. Joan Chandler, Debbie Prince and Diyonna Mitchell are the full-time staff who will be Managing Volunteers and assisting the clients from April 15th – October 15th, 2014. All staff is IRS Certified Site Managers and the experience ranges from four to ten years of VITA experience.

# CURRENT FINANCIAL STATEMENT

**Financial Statements**

**Louisville Asset Building Coalition, Inc**

**January 31, 2015**

**Anderson, Bryant, Lasky & Winslow, PSC**  
**943 South First Street**  
**Louisville, KY 40203**

**ACCOUNTANT'S COMPILATION REPORT**

Louisville Asset Building Coalition, Inc  
118 West Breckinridge  
Louisville, KY 40203

We have compiled the accompanying statement of financial position of Louisville Asset Building Coalition, Inc (a not-for-profit organization), as of January 31, 2015, and the related statement of activities for the one month and seven months then ended. We have not audited or reviewed the accompanying financial statements and, accordingly, do not express an opinion or provide any assurance about whether the financial statements are in accordance with accounting principles generally accepted in the United States of America.

Management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America and for designing, implementing, and maintaining internal control relevant to the preparation and fair presentation of the financial statements.

Our responsibility is to conduct the compilation in accordance with Statements on Standards for Accounting and Review Services issued by the American Institute of Certified Public Accountants. The objective of a compilation is to assist management in presenting financial information in the form of financial statements without undertaking to obtain or provide any assurance that there are no material modifications that should be made to the financial statements.

Accounting principles generally accepted in the United States of America require that accounts payable be recognized. The Organization has not recorded accounts payable. The effect of this departure from accounting principles generally accepted in the United States of America on the accompanying financial statements has not been determined.

Management has elected to omit substantially all of the disclosures and the statement of cash flows required by accounting principles generally accepted in the United States of America. If the omitted disclosures and statement of cash flows were included in the financial statements, they might influence the user's conclusions about the Organization's financial position, results of operations, and cash flows. Accordingly, the financial statements are not designed for those who are not informed about such matters.

The supplementary information contained in the schedules of activities is presented for purposes of additional analysis and is not a required part of the basic financial statements. The supplementary information has been compiled from information that is the representation of management. We have not audited or reviewed the supplementary information and, accordingly, do not express an opinion or provide any assurance on such supplementary information.

We are not independent with respect to Louisville Asset Building Coalition, Inc.

*Anderson, Bryant, Lasky + Winslow, P.S.C.*

Louisville, Kentucky  
February 10, 2015

**Louisville Asset Building Coalition, Inc**  
**Statement of Financial Position**  
**January 31, 2015**

**ASSETS**

Cash - Republic Bank	\$ 25,155.40
Promises to give	96,509.03
Equipment	540.00
Accumulated depreciation	<u>(106.20)</u>

<b>Total assets</b>	<b><u>\$ 122,098.23</u></b>
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**LIABILITIES & NET ASSETS**

**LIABILITIES**

State withholding	\$ 479.95
SUTA	333.32
Louisville withholding	219.01
Other payroll withholding	<u>150.00</u>

<b>Total liabilities</b>	<b><u>1,182.28</u></b>
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**NET ASSETS**

Net assets	57,453.34
Increase (decrease) in unrestricted net assets - current year	<u>63,462.61</u>

<b>Total net assets</b>	<b><u>120,915.95</u></b>
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<b>Total liabilities and net assets</b>	<b><u>\$ 122,098.23</u></b>
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See Accountant's Compilation Report



**Louisville Asset Building Coalition, Inc**  
**Statement of Activities**  
**For the Period Ended January 31, 2015**

CONSOLIDATED - ALL DEPARTMENTS

	1 Month Ended Jan. 31, 2015	7 Months Ended Jan. 31, 2015	Pct
<u>Support and Revenue</u>			
Metro United Way grant	\$ 0.00	\$ 34,822.00	18.68
Republic Bank grant	0.00	0.00	0.00
Walmart grant	0.00	0.00	0.00
PNC grant	0.00	10,000.00	5.37
Intuit Financial Foundation	0.00	50,000.00	26.83
Donations	5,448.00	5,638.00	3.02
Louisville Metro Government	0.00	15,000.00	8.05
Louisville Metro Government - External Agency	1,950.00	3,900.00	2.09
IRS - VITA - 2013-14	0.00	67,000.00	35.95
Interest income	1.57	23.96	0.01
	<u>7,399.57</u>	<u>186,383.96</u>	<u>100.00</u>
Total support and revenue			
<u>Expenses</u>			
Salaries	\$ 11,455.36	\$ 72,976.02	39.15
Workers compensation insurance	0.00	549.00	0.29
Payroll taxes	945.63	6,640.46	3.56
401(k) match	687.32	687.32	0.37
Audit & accounting fees	12.80	5,152.02	2.76
Other professional services	0.00	6,916.26	3.71
MUW grant admin fees	0.00	418.25	0.22
Technology services	560.49	904.47	0.49
Office supplies	159.99	4,369.06	2.34
Utilities	143.21	143.21	0.08
Telephone - long distance	0.00	0.32	0.00
800 telephone service - 2-1-1	0.00	40.00	0.02
Shredding & recycling	0.00	92.50	0.05
Storage rental	0.00	929.00	0.50
Miscellaneous building services	0.00	70.00	0.04
Office cleaning services	0.00	625.00	0.34
Equipment expense	394.51	9,166.84	4.92
Depreciation	9.00	79.20	0.04
Web/online communications	1,120.00	1,810.00	0.97
General printed materials	0.00	511.21	0.27
Bank charges	38.95	92.65	0.05
Long distance travel	0.00	836.65	0.45
Conferences & seminars	0.00	2,399.09	1.29
Volunteer luncheons & meetings	100.00	168.52	0.09
Staff luncheons & meetings	435.88	1,389.35	0.75
Membership dues	0.00	150.00	0.08
Insurance: directors & officers liability	0.00	0.00	0.00
Miscellaneous expenses	0.00	5,804.95	3.11
	<u>16,063.14</u>	<u>122,921.35</u>	<u>65.95</u>
Total expenses			
Increase (decrease) in unrestricted net assets	<u>\$ (8,663.57)</u>	<u>\$ 63,462.61</u>	<u>34.05</u>

See Accountant's Compilation Report

**Louisville Asset Building Coalition, Inc**  
**Schedule of Activities**  
**For the Period Ended January 31, 2015**

LABC

	1 Month Ended Jan. 31, 2015	7 Months Ended Jan. 31, 2015	Pct
<u>Support and Revenue</u>			
Metro United Way grant	\$ 0.00	\$ 34,822.00	38.48
Republic Bank grant	0.00	0.00	0.00
Intuit Financial Foundation	0.00	50,000.00	55.26
Donations	5,448.00	5,638.00	6.23
Interest income	1.57	23.96	0.03
	<hr/>	<hr/>	
Total support and revenue	5,449.57	90,483.96	100.00
<u>Expenses</u>			
Salaries	\$ 4,650.16	\$ 47,187.01	52.15
Workers compensation insurance	0.00	549.00	0.61
Payroll taxes	945.63	6,640.46	7.34
401(k) match	687.32	687.32	0.76
Audit & accounting fees	12.80	5,152.02	5.69
Other professional services	0.00	6,916.26	7.64
Technology services	560.49	904.47	1.00
Office supplies	0.00	3,159.07	3.49
Utilities	143.21	143.21	0.16
Telephone - long distance	0.00	0.32	0.00
800 telephone service - 2-1-1	0.00	40.00	0.04
Shredding & recycling	0.00	92.50	0.10
Storage rental	0.00	929.00	1.03
Miscellaneous building services	0.00	70.00	0.08
Office cleaning services	0.00	625.00	0.69
Equipment expense	0.00	349.79	0.39
Depreciation	9.00	79.20	0.09
Web/online communications	0.00	100.00	0.11
General printed materials	0.00	511.21	0.56
Bank charges	38.95	92.65	0.10
Long distance travel	0.00	836.65	0.92
Conferences & seminars	0.00	2,399.09	2.65
Volunteer luncheons & meetings	100.00	168.52	0.19
Staff luncheons & meetings	435.88	1,389.35	1.54
Membership dues	0.00	150.00	0.17
Insurance: directors & officers liability	0.00	0.00	0.00
Miscellaneous expenses	0.00	5,804.95	6.42
	<hr/>	<hr/>	
Total expenses	7,583.44	84,977.05	93.91
	<hr/>	<hr/>	
Increase (decrease) in unrestricted net assets	\$ (2,133.87)	\$ 5,506.91	2.95

See Accountant's Compilation Report

**Louisville Asset Building Coalition, Inc**  
**Schedule of Activities**  
**For the Period Ended January 31, 2015**

**Walmart**

	<b>1 Month Ended Jan. 31, 2015</b>	<b>7 Months Ended Jan. 31, 2015</b>	<b>Pct</b>
<u>Support and Revenue</u>			
Walmart grant	\$ 0.00	\$ 0.00	0.00
Total support and revenue	0.00	0.00	0.00
<u>Expenses</u>			
Salaries	\$ 0.00	\$ 1,158.00	0.00
Total expenses	0.00	1,158.00	0.00
Increase (decrease) in unrestricted net assets	\$ 0.00	\$ (1,158.00)	0.00

See Accountant's Compilation Report

**Louisville Asset Building Coalition, Inc**  
**Schedule of Activities**  
**For the Period Ended January 31, 2015**

NCTC - FAFSA

	<b>1 Month Ended Jan. 31, 2015</b>	<b>7 Months Ended Jan. 31, 2015</b>	<b>Pct</b>
<u>Support and Revenue</u>			
<u>Expenses</u>			

See Accountant's Compilation Report

**Louisville Asset Building Coalition, Inc**  
**Schedule of Activities**  
**For the Period Ended January 31, 2015**

**Louisville Metro Government - Neighborhood Development**

	<b>1 Month Ended Jan. 31, 2015</b>	<b>7 Months Ended Jan. 31, 2015</b>	<b>Pct</b>
<u>Support and Revenue</u>			
Louisville Metro Government	\$ 0.00	\$ 15,000.00	100.00
 Total support and revenue	<u>0.00</u>	<u>15,000.00</u>	<u>100.00</u>
<u>Expenses</u>			
Salaries	\$ 2,369.20	\$ 8,968.09	59.79
Office supplies	0.00	1,050.00	7.00
Equipment expense	394.51	4,319.35	28.80
Web/online communications	<u>120.00</u>	<u>120.00</u>	<u>0.80</u>
 Total expenses	<u>2,883.71</u>	<u>14,457.44</u>	<u>96.38</u>
 Increase (decrease) in unrestricted net assets	<u>\$ (2,883.71)</u>	<u>\$ 542.56</u>	<u>3.62</u>

See Accountant's Compilation Report

**Louisville Asset Building Coalition, Inc**  
**Schedule of Activities**  
**For the Period Ended January 31, 2015**

Louisville Metro Government - External Agency

	<b>1 Month Ended Jan. 31, 2015</b>	<b>7 Months Ended Jan. 31, 2015</b>	<b>Pct</b>
<u>Support and Revenue</u>			
Louisville Metro Government - External Agency	\$ 1,950.00	\$ 3,900.00	100.00
Total support and revenue	<u>1,950.00</u>	<u>3,900.00</u>	<u>100.00</u>
<u>Expenses</u>			
Salaries	\$ 200.00	\$ 800.00	20.51
MUW grant admin fees	<u>0.00</u>	<u>68.25</u>	<u>1.75</u>
Total expenses	<u>200.00</u>	<u>868.25</u>	<u>22.26</u>
Increase (decrease) in unrestricted net assets	<u>\$ 1,750.00</u>	<u>\$ 3,031.75</u>	<u>77.74</u>

See Accountant's Compilation Report

**Louisville Asset Building Coalition, Inc**  
**Schedule of Activities**  
**For the Period Ended January 31, 2015**

**IRS - Volunteer Income Tax Assistance (VITA)**

	<b>1 Month Ended Jan. 31, 2015</b>	<b>7 Months Ended Jan. 31, 2015</b>	<b>Pct</b>
<u>Support and Revenue</u>			
IRS - VITA - 2013-14	\$ 0.00	\$ 67,000.00	100.00
Total support and revenue	0.00	67,000.00	100.00
<u>Expenses</u>			
Salaries	\$ 4,236.00	\$ 14,862.92	22.18
Office supplies	159.99	159.99	0.24
Equipment expense	0.00	3,057.72	4.56
Web/online communications	1,000.00	1,590.00	2.37
Total expenses	5,395.99	19,670.63	29.36
Increase (decrease) in unrestricted net assets	\$ (5,395.99)	\$ 47,329.37	70.64

See Accountant's Compilation Report

**Louisville Asset Building Coalition, Inc**  
**Schedule of Activities**  
**For the Period Ended January 31, 2015**

PNC

	<b>1 Month Ended Jan. 31, 2015</b>	<b>7 Months Ended Jan. 31, 2015</b>	<b>Pct</b>
<u>Support and Revenue</u>			
PNC grant	\$ 0.00	\$ 10,000.00	100.00
Total support and revenue	<u>0.00</u>	<u>10,000.00</u>	<u>100.00</u>
<u>Expenses</u>			
Salaries	\$ 0.00	\$ 0.00	0.00
MUW grant admin fees	0.00	350.00	3.50
Equipment expense	<u>0.00</u>	<u>1,439.98</u>	<u>14.40</u>
Total expenses	<u>0.00</u>	<u>1,789.98</u>	<u>17.90</u>
Increase (decrease) in unrestricted net assets	<u>\$ 0.00</u>	<u>\$ 8,210.02</u>	<u>82.10</u>

See Accountant's Compilation Report



Date	Reference T	Description	Beginning Balance	Current Amount	YTD Balance
	11000	Cash - Republic Bank	33,041.32		
01/31/15	1	Cash Disbursements		(5,771.93)	
01/08/15	2	To record payroll 01/08/2015		(6,115.98)	
01/22/15	3	To record payroll 1/22/2015		(6,117.28)	
01/31/15	4	Bank fees and interest		(37.38)	
01/31/15	5	To record MUW monthly grant payment		2,901.86	
01/31/15	6	To record 2nd External Agency grant payment		1,950.00	
01/31/15	7	To record donations		1,948.00	
01/22/15	8	Time Warner Cable		(143.21)	
01/31/15	11	To record donation from Republic Bank		3,500.00	
				<u>(7,885.92)</u>	<u>25,155.40</u>
	11400	Promises to give	99,410.89		
01/31/15	5	To record MUW monthly grant payment		(2,901.86)	
				<u>(2,901.86)</u>	<u>96,509.03</u>
	11500	Equipment	540.00		
				<u>0.00</u>	<u>540.00</u>
	11600	Accumulated depreciation	(97.20)		
01/31/15	9	To record depreciation		(9.00)	
				<u>(9.00)</u>	<u>(106.20)</u>
	12230	State withholding	(1,946.30)		
01/08/15	2	To record payroll 01/08/2015		(239.78)	
01/22/15	3	To record payroll 1/22/2015		(239.78)	
01/31/15	241 V	Kentucky State Treasurer 2014 4TH QTR 354		1,945.91	
				<u>1,466.35</u>	<u>(479.95)</u>
	12235	SUTA	(496.82)		
01/08/15	2	To record payroll 01/08/2015		(166.66)	
01/22/15	3	To record payroll 1/22/2015		(166.66)	
01/31/15	10	To adj SUTA to liability		264.04	
01/31/15	240 V	Division of Unemployment Insurance 2014 4TH		232.78	
				<u>163.50</u>	<u>(333.32)</u>
	12240	Louisville withholding	(822.37)		
01/08/15	2	To record payroll 01/08/2015		(110.14)	
01/22/15	3	To record payroll 1/22/2015		(108.87)	
01/31/15	242 V	Louisville Metro Revenue Commission 2014 4TH QTR		822.37	
				<u>603.36</u>	<u>(219.01)</u>
	12250	Other payroll withholding	(50.00)		
01/08/15	2	To record payroll 01/08/2015		(50.00)	
01/22/15	3	To record payroll 1/22/2015		(50.00)	
				<u>(100.00)</u>	<u>(150.00)</u>
	13000	Net assets	(57,453.34)		
				<u>0.00</u>	<u>(57,453.34)</u>
	14000	Metro United Way grant	(34,822.00)		

Date	Reference T	Description	Beginning Balance	Current Amount	YTD Balance
		<b>14000 Metro United Way grant (cont.)</b>		<u>0.00</u>	<u>(34,822.00)</u>
		<b>14050 Intuit Financial Foundation</b>	(50,000.00)	<u>0.00</u>	<u>(50,000.00)</u>
		<b>14092 Donations</b>	(190.00)		
01/31/15	7	To record donations		(1,948.00)	
01/31/15	11	To record donation from Republic Bank		<u>(3,500.00)</u>	
				<u>(5,448.00)</u>	<u>(5,638.00)</u>
		<b>15600 Interest income</b>	(22.39)		
01/31/15	4	Bank fees and interest		(1.57)	
				<u>(1.57)</u>	<u>(23.96)</u>
		<b>17010 Salaries</b>	42,536.85		
01/08/15	2	To record payroll 01/08/2015		2,325.08	
01/22/15	3	To record payroll 1/22/2015		<u>2,325.08</u>	
				<u>4,650.16</u>	<u>47,187.01</u>
		<b>17203 Workers compensation insurance</b>	549.00		
				<u>0.00</u>	<u>549.00</u>
		<b>17204 Payroll taxes</b>	5,694.83		
01/08/15	2	To record payroll 01/08/2015		604.82	
01/22/15	3	To record payroll 1/22/2015		<u>604.85</u>	
01/31/15	10	To adj SUTA to liability		<u>(264.04)</u>	
				<u>945.63</u>	<u>6,640.46</u>
		<b>17205 401(k) match</b>	0.00		
01/08/15	2	To record payroll 01/08/2015		343.66	
01/22/15	3	To record payroll 1/22/2015		<u>343.66</u>	
				<u>687.32</u>	<u>687.32</u>
		<b>18008 Payroll processing &amp; accounting fees</b>	5,139.22		
01/08/15	2	To record payroll 01/08/2015		6.40	
01/22/15	3	To record payroll 1/22/2015		<u>6.40</u>	
				<u>12.80</u>	<u>5,152.02</u>
		<b>18015 Other professional services</b>	6,916.26		
				<u>0.00</u>	<u>6,916.26</u>
		<b>18017 Technology services</b>	343.98		
01/07/15	238 V	1st IT Solutions INV-158		283.50	
01/27/15	246 V	NBJ Electric & More, Inc. 2802		<u>125.00</u>	
01/27/15	248 V	1st IT Solutions INV-154-VIRUS		<u>151.99</u>	
				<u>560.49</u>	<u>904.47</u>
		<b>18106 Office supplies</b>	3,159.07		

**Louisville Asset Building Coalition, Inc**  
**General Ledger**

<b>Date</b>	<b>Reference T</b>	<b>Description</b>	<b>Beginning Balance</b>	<b>Current Amount</b>	<b>YTD Balance</b>
	18106	Office supplies (cont.)		<u>0.00</u>	<u>3,159.07</u>
01/22/15	18201 Utilities 8	Time Warner Cable	0.00	<u>143.21</u> <u>143.21</u>	<u>143.21</u>
	18202	Telephone - long distance	0.32	<u>0.00</u>	<u>0.32</u>
	18280	800 telephone service - 2-1-1	40.00	<u>0.00</u>	<u>40.00</u>
	18406	Shredding & recycling	92.50	<u>0.00</u>	<u>92.50</u>
	18414	Storage rental	929.00	<u>0.00</u>	<u>929.00</u>
	18423	Miscellaneous building services	70.00	<u>0.00</u>	<u>70.00</u>
	18424	Office cleaning services	625.00	<u>0.00</u>	<u>625.00</u>
	18450	Equipment	349.79	<u>0.00</u>	<u>349.79</u>
01/31/15	18460 Depreciation 9	To record depreciation	70.20	<u>9.00</u> <u>9.00</u>	<u>79.20</u>
	18507	Web/online communications	100.00	<u>0.00</u>	<u>100.00</u>
	18607	General printed materials	511.21	<u>0.00</u>	<u>511.21</u>
01/31/15	18610 Bank charges 4	Bank fees and interest	53.70	<u>38.95</u> <u>38.95</u>	<u>92.65</u>
	18705	Long distance travel	836.65	<u>0.00</u>	<u>836.65</u>
	18801	Conferences & seminars	2,399.09		

01/31/15

**Louisville Asset Building Coalition, Inc**  
**General Ledger**

03436

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Date	Reference T	Description	Beginning Balance	Current Amount	YTD Balance
		<b>18801 Conferences &amp; seminars (cont.)</b>			
				<u>0.00</u>	<u>2,399.09</u>
		<b>18811 Volunteer luncheons &amp; meetings</b>	68.52		
01/15/15	243 V	Nedra Young- supplies for training		<u>100.00</u>	
				<u>100.00</u>	<u>168.52</u>
		<b>18814 Staff luncheons &amp; meetings</b>	953.47		
01/06/15	237 V	Nedra Young- supplies for training		<u>200.00</u>	
01/27/15	247 V	Nedra Young - supplies for training		<u>235.88</u>	
				<u>435.88</u>	<u>1,389.35</u>
		<b>19001 Membership dues</b>	150.00		
				<u>0.00</u>	<u>150.00</u>
		<b>19402 Miscellaneous expenses</b>	5,804.95		
				<u>0.00</u>	<u>5,804.95</u>
		<b>27010 Salaries</b>	1,158.00		
				<u>0.00</u>	<u>1,158.00</u>
		<b>55000 Louisville Metro Government</b>	(15,000.00)		
				<u>0.00</u>	<u>(15,000.00)</u>
		<b>57010 Salaries</b>	6,598.89		
01/08/15	2	To record payroll 01/08/2015		<u>1,184.60</u>	
01/22/15	3	To record payroll 1/22/2015		<u>1,184.60</u>	
				<u>2,369.20</u>	<u>8,968.09</u>
		<b>58106 Office supplies</b>	1,050.00		
				<u>0.00</u>	<u>1,050.00</u>
		<b>58450 Equipment</b>	3,924.84		
01/19/15	245 V	Printer Solutions SI17470-PRINTER		<u>394.51</u>	
				<u>394.51</u>	<u>4,319.35</u>
		<b>58507 Web/online communications</b>	0.00		
01/07/15	238 V	1st IT Solutions INV-157		<u>120.00</u>	
				<u>120.00</u>	<u>120.00</u>
		<b>65005 Louisville Metro Government - External Agency</b>	(1,950.00)		
01/31/15	6	To record 2nd External Agency grant payment		<u>(1,950.00)</u>	
				<u>(1,950.00)</u>	<u>(3,900.00)</u>
		<b>67010 Salaries</b>	600.00		
01/08/15	2	To record payroll 01/08/2015		<u>100.00</u>	
01/22/15	3	To record payroll 1/22/2015		<u>100.00</u>	
				<u>200.00</u>	<u>800.00</u>

**Louisville Asset Building Coalition, Inc**  
**General Ledger**

Date	Reference T	Description	Beginning Balance	Current Amount	YTD Balance
		68016 MUW grant admin fees	68.25	<u>0.00</u>	<u>68.25</u>
		75040 IRS - VITA - 2013-14	(67,000.00)	<u>0.00</u>	<u>(67,000.00)</u>
		77010 Salaries	10,626.92		
01/08/15	2	To record payroll 01/08/2015		2,118.00	
01/22/15	3	To record payroll 1/22/2015		2,118.00	
				<u>4,236.00</u>	<u>14,862.92</u>
		78106 Office supplies	0.00		
01/07/15	238 V	1st IT Solutions INV-159		159.99	
				<u>159.99</u>	<u>159.99</u>
		78450 Equipment	3,057.72		
				<u>0.00</u>	<u>3,057.72</u>
		78507 Web/online communications	590.00		
01/07/15	238 V	1st IT Solutions INV-157		1,000.00	
				<u>1,000.00</u>	<u>1,590.00</u>
		84030 PNC grant	(10,000.00)		
				<u>0.00</u>	<u>(10,000.00)</u>
		88016 MUW grant admin fees	350.00		
				<u>0.00</u>	<u>350.00</u>
		88450 Equipment	1,439.98		
				<u>0.00</u>	<u>1,439.98</u>
Current Profit/(Loss)			(8,663.57)	YTD Profit/(Loss)	63,462.61
Number of Transactions			55	The General Ledger is in balance	
					<u>0.00</u>

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**LOUISVILLE ASSET BUILDING COALITION, INC.**

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**General Information**

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<b>Organization Number</b>	0822309
<b>Name</b>	LOUISVILLE ASSET BUILDING COALITION, INC.
<b>Profit or Non-Profit</b>	N - Non-profit
<b>Company Type</b>	KCO - Kentucky Corporation
<b>Status</b>	A - Active
<b>Standing</b>	G - Good
<b>State</b>	KY
<b>File Date</b>	2/22/2012
<b>Organization Date</b>	2/22/2012
<b>Last Annual Report</b>	5/4/2015
<b>Principal Office</b>	118 WEST BRECKINRIDGE STREET LOUISVILLE, KY 40202
<b>Registered Agent</b>	RON HATCH 118 WEST BRECKINRIDGE STREET SUITE 300 LOUISVILLE, KY 40203

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**Current Officers**

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<b>Chairman</b>	<u><a href="#">Eric Seto</a></u>
<b>Vice Chairman</b>	<u><a href="#">Peter Wayne</a></u>
<b>Secretary</b>	<u><a href="#">Lisa Locke</a></u>
<b>Treasurer</b>	<u><a href="#">Scott Owens</a></u>
<b>Director</b>	<u><a href="#">Peter Wayne</a></u>
<b>Director</b>	<u><a href="#">Eric Seto</a></u>
<b>Director</b>	<u><a href="#">Scott Owens</a></u>
<b>Director</b>	<u><a href="#">Artie Robertson</a></u>
<b>Director</b>	<u><a href="#">Delquan Dorsey</a></u>
<b>Director</b>	<u><a href="#">Lisa Locke</a></u>
<b>Director</b>	<u><a href="#">Dwight Haygood Jr.</a></u>
<b>Director</b>	<u><a href="#">Jim Blandford</a></u>
<b>Director</b>	<u><a href="#">Mark Farmer</a></u>
<b>Director</b>	<u><a href="#">Micheal Raisor</a></u>

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**Individuals / Entities listed at time of formation**

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<b>Director</b>	<u><a href="#">PETER H WAYNE IV</a></u>
<b>Director</b>	<u><a href="#">REBECCA BRADY</a></u>
<b>Director</b>	<u><a href="#">DELOUAN DORSEY</a></u>
<b>Director</b>	<u><a href="#">MARITA WILLIS</a></u>
<b>Director</b>	<u><a href="#">FRANCESCA CURRY</a></u>
<b>Director</b>	<u><a href="#">SCOTT OWENS</a></u>
<b>Director</b>	<u><a href="#">ARTIE ROBERTSON</a></u>

<b>Incorporator</b>	<u>PETER H WAYNE IV</u>
<b>Incorporator</b>	<u>REBECCA BRADY</u>
<b>Incorporator</b>	<u>DELOUAN DORSEY</u>
<b>Incorporator</b>	<u>MARITA WILLIS</u>
<b>Incorporator</b>	<u>FRANCESCA CURRY</u>
<b>Incorporator</b>	<u>SCOTT OWENS</u>
<b>Incorporator</b>	<u>ARTIE ROBERTSON</u>

## Images available online

Documents filed with the Office of the Secretary of State on September 15, 2004 or thereafter are available as scanned images or PDF documents. Documents filed prior to September 15, 2004 will become available as the images are created.

<u>Registered Agent name/address change</u>	5/4/2015 10:01:10 AM	1 page	<u>PDF</u>
<u>Annual Report</u>	5/4/2015	1 page	<u>PDF</u>
<u>Principal Office Address Change</u>	6/24/2014 10:01:25 AM	1 page	<u>PDF</u>
<u>Annual Report</u>	6/24/2014	1 page	<u>PDF</u>
<u>Registered Agent name/address change</u>	8/9/2013 5:09:00 PM	1 page	<u>PDF</u>
<u>Annual Report</u>	8/7/2013	1 page	<u>PDF</u>
<u>Articles of Incorporation</u>	2/22/2012	20 pages	<u>tiff</u> <u>PDF</u>

## Assumed Names

## Activity History

Filing	File Date	Effective Date	Org. Referenced
Annual report	5/4/2015 10:11:34 AM	5/4/2015 10:11:34 AM	
Registered agent address change	5/4/2015 10:01:10 AM	5/4/2015 10:01:10 AM	
Annual report	6/24/2014 10:09:40 AM	6/24/2014 10:09:40 AM	
Principal office change	6/24/2014 10:01:25 AM	6/24/2014 10:01:25 AM	
Registered agent address change	8/9/2013 5:09:00 PM	8/9/2013 5:09:00 PM	
Annual report	8/7/2013 2:22:45 PM	8/7/2013 2:22:45 PM	
Add	2/22/2012 10:18:29 AM	2/22/2012	

## Microfilmed Images