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**SOLE SOURCE CONTRACT**  
**BETWEEN**  
**THE LOUISVILLE/JEFFERSON COUNTY METRO GOVERNMENT ("Metro")**  
**AND**  
**CAROUSEL and CARVINGS INC. ("Contractor")**  
**FOR THE**  
**REPAIR AND MAINTENANCE OF THE CONSERVATION CAROUSEL**

**WHEREAS**, the Metro Government, requires services with respect to repairs and maintenance of the Conservation Carousel; and

**WHEREAS**, the Conservation Carousel, known as the "Old 49" was built in 1919 by the Philadelphia Toboggan Company and completely restored by Contractor and installed at the Louisville Zoo in 2000; and

**WHEREAS**, Section 302 KAR 16:131 regulates the maintenance and repair of amusement rides or attractions in Kentucky and requires that repairs and maintenance shall meet the manufacturers original equipment specifications; and

**WHEREAS**, the original manufacturer no longer services their carousels and Contractor owns all original replacement parts, molds, and proprietary information related to the Conservation Carousel; and

**WHEREAS**, KRS 82.084 provides for the exemption from procurement and advertising requirements contained in KRS 45A.345 to 45A.460 for certain purchases including products or services when there is a single source of the product or service available within a reasonable geographical area.

**NOW, THEREFORE**, it is agreed by and between the parties hereto as follows:

This Contract is between Carousel and Carvings Inc., having offices at 1476 Likens Road, Building 4, Marion, Ohio, 43302 and the Louisville/Jefferson County Metro Government, acting by and through its Louisville Zoo ("Metro"), a Kentucky consolidated local government, and is effective as of the date executed by Metro.

**1 This Contract is composed of the following documents:**

- 1.1 This Contract
- 1.2 Standard Terms, incorporated herein under Section 6 as 'Standard Terms'

**2 Scope of Work**

- 2.1 Maintenance and repair service shall include but not be limited to restoration services, fabrication of new parts, repair existing parts, and costs associated with training Metro staff on maintenance and operation of equipment.



2.2 Contractor shall submit quotes for services in advance and not proceed without official purchase order issued by Metro.

- 3 **Order of Precedence.** Any inconsistency or conflict in the Contract documents shall be resolved by giving precedence in the following order:

3.1 This Contract

3.2 Standard Terms

3.3 Any purchase orders issued by Metro

- 4 **Term of Contract.**

4.1 The effective date of this contract shall be the date of execution of the last signature of authorized representative of the parties. The Contract shall remain in effect for a term of thirty-six (36) months. The Contract may be extended beyond the initial term upon re-assessment of sole-source designation at the time of term expiration.

- 5 **Compensation.** The Contractor shall be paid a total Not-to-Exceed amount of TWO HUNDRED THOUSAND DOLLARS (\$200,000) for the initial contract term. Metro will pay Contractor EIGHTY-FIVE DOLLARS (\$85.00) per hour for labor charges. Payment shall be made upon successful completion of services or delivery of goods as outlined in each individual Purchase Order.

- 6 **Standard Terms**

**6.1 Payment Terms:** Net 30

**6.2 Occupational Health and Safety.** The Contractor agrees to comply with all statutes, rules, and regulations governing safe and healthful working conditions, including the Occupational Health and Safety Act of 1970, 29 U.S.C. 650 *et seq.*, as amended, and KRS Chapter 338. The Contractor will provide training documentation for all standards applicable to the job. Necessary trainings would include, but are not limited to, remediation, abatement, powered industrial truck equipment brought on site by Contractor, SDS for all chemicals brought to site by Contractor, confined space, fall protection, or any other trainings required by an afore mentioned standard under the scope of work being proposed. The Contractor agrees to provide, for all their employees working on properties where Metro employees reside, documentation of current (annual) asbestos awareness training, per OSHA's 1926.1101(k)(9)(vi) regulation. The Contractor also agrees to notify the Metro Government in writing immediately upon detection of any unsafe and/or unhealthful working conditions on Metro Government property. Contractor agrees to indemnify, defend and hold the Metro Government harmless from all penalties, fines or other expenses arising out of the alleged violation of said laws.

**6.3 Records Retention.** Contractor shall maintain during the course of the work, and retain not less than five years from the date of final payment on the contract, complete and



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accurate records of all of Contractor's costs which are chargeable to the Metro Government under this Agreement; and the Metro Government shall have the right, at any reasonable time, to inspect and audit those records by authorized representatives of its own or of any public accounting firm selected by it. The records to be thus maintained and retained by Contractor shall include (without limitation): (a) payroll records accounting for total time distribution of Contractor's employees working full or part time on the work (to permit tracing to payrolls and related tax returns), as well as canceled payroll checks, or signed receipts for payroll payments in cash; (b) invoices for purchases receiving and issuing documents, and all the other unit inventory records for Contractor's stores stock or capital items; and (c) paid invoices and canceled checks for materials purchased and for subcontractors' and any other third parties' charges.

**6.4 Open Records.** All materials submitted in response to the solicitation document will become the property of the Metro Government. One copy of a submitted Proposal will be retained for official files and will become public record. In general, under the Kentucky Open Records Act (Kentucky Revised Statutes, sections 61.870 – 61.884), public records of the Metro Government are subject to disclosure to a requesting party. Any material that a vendor considers as confidential but does not meet the disclosure exemption requirements of the Open Records Act ("ORA"), should not be included in the vendor's Proposal, as it may be made available to the public. If a vendor's Proposal contains materials noted or marked as confidential and/or proprietary that, in Metro's sole opinion, meet the disclosure exemption requirements of the ORA, then that information will not be disclosed in response to a written request for public documents. If Metro does not consider such material to be exempt from disclosure under the ORA, the material may be made available to the public, regardless of the notation or marking. If a vendor is unsure if its confidential and/or proprietary material meets the disclosure exemption requirements of the ORA, then it should not include such information in its Proposal because such information may be disclosed to the public.

**6.5 Entire Agreement.** This Agreement constitutes the entire agreement and understanding of the parties with respect to the subject matter set forth herein and this Agreement supersedes any and all prior and contemporaneous oral or written agreements or understandings between the parties relative thereto. No representation, promise, inducement, or statement of intention has been made by the parties that is not embodied in this Agreement. This Agreement cannot be amended, modified, or supplemented in any respect except by a subsequent written agreement duly executed by all of the parties hereto.



## 6.6 Contract Termination:

### 6.6.1 Termination for Cause

- a. Metro Government may terminate a contract because a contractor fails to perform its contractual duties.
- b. If a contractor is determined to be in default, Metro Government shall notify the contractor in writing and may either 1) terminate the contract immediately or 2) set a date by which the contractor shall cure the identified deficiencies. Metro Government may proceed with termination if the contractor fails to cure the deficiencies within the specified time.
- c. A default in performance by a contractor for which a contract may be terminated shall include, but not be limited to:
  - i. Failure to make delivery within the time specified or according to a delivery schedule fixed by the contract.
  - ii. Late payment or nonpayment of bills for labor, materials, supplies, or equipment furnished in connection with a contract for construction services as evidenced by mechanics' liens filed pursuant to the provisions of KRS Chapter 376, or letters of indebtedness received from creditors by the purchasing agency.
  - iii. Failure to diligently advance the work under a contract for construction services.
  - iv. The filing of a bankruptcy petition by or against the contractor; or
  - v. Actions that endanger the health, safety or welfare of Metro Government or its citizens.
- d. This Agreement may also be terminated by any party, without notice to the non-terminating party, because of fraud, misappropriation, embezzlement, or malfeasance.
- e. In the event that, during the terms of this Contract, funds are not appropriated for the payment of the Metro Government's obligations hereunder, the Metro Government's rights and obligations herein shall terminate on the last day for which an appropriation has been made.

**6.7 Termination for Convenience.** Notwithstanding the above provisions, the Metro Government may terminate this contract at will in accordance with the law upon providing thirty (30) days written notice of that intent. Payment for services or goods received prior to termination shall be made by the Metro Government provided those goods or services were provided in a manner acceptable to the Metro Government. Payment for those goods and services shall not be unreasonably withheld.



**6.8 Force Majeure.** Neither Contractor nor the Metro Government shall be liable in damages or have the right to terminate a contract executed hereunder for any delay or default in performing that contract if such delay or default is caused by conditions beyond either party's control including, but not limited to Acts of God, Government restrictions (including the denial or cancellation of any export or other necessary license), wars, pandemics, insurrections and/or any other cause beyond the reasonable control of the party whose performance is affected.

**6.9 Assignment of Contract.** The Contractor shall not assign or subcontract any portion of the Contract without the express written consent of Metro Government. Any purported assignment or subcontract without the written consent of the Metro Government shall be void. Contractor agrees that the Metro Government shall consent to any request for assignment or subcontract in its sole discretion. If ownership of Contractor changes, Contractor or its successor firm shall notify Metro Purchasing in writing within 30 days of the Contractor's receiving notice that its ownership is changing, including but not limited to purchase or other transfer.

**6.10 No Waiver.** No failure or delay by Metro Government in exercising any right, remedy, power or privilege hereunder, nor any single or partial exercise thereof, nor the exercise of any other right, remedy, power or privilege shall operate as a waiver hereof or thereof. No failure or delay by Metro Government in exercising any right, remedy, power or privilege under or in respect of this Contract shall affect the rights, remedies, powers or privileges of Metro Government hereunder or shall operate as a waiver thereof.

**6.11 Authority to do Business.** The Contractor must be a duly organized and authorized to do business under the laws of Kentucky. Contractor must be in good standing with all government agencies and have full legal capacity to provide the services specified under this Contract. The Contractor must have all necessary right and lawful authority to enter into this Contract for the full term hereof and that proper corporate or other action has been duly taken authorizing the Contractor to enter into this Contract. The Contractor will provide Metro Government with a copy of a corporate resolution authorizing this action and a letter from an attorney confirming that the Contractor is authorized to do business in the State of Kentucky, if requested.

**6.12 Governing Law.** The Contract shall be governed by and construed in accordance with the laws of the State of Kentucky. In the event of any proceedings regarding the Contract, the Parties agree that venue shall be the state courts of Kentucky or the U.S. Court for the Western District of Kentucky, Louisville Division. All parties expressly consent to personal jurisdiction and venue in such Court for the limited and sole purpose of proceedings relating to the Contract or any rights or obligations arising thereunder. Service of process may be accomplished by following the procedures prescribed by law.

**6.13 Ability to Meet Obligations.** Contractor affirms that there are no actions, suits or proceedings of any kind pending against Contractor or, to the knowledge of the Contractor,



threatened against Contractor before or by any court, governmental body or agency or other tribunal or authority which would, if adversely determined, have a materially adverse effect on the authority or ability of Contractor to perform its obligations under this Contract, or which question the legality, validity or enforceability hereof or thereof.

#### **6.14 Per KRS 45A.455: Conflict of Interest.**

6.14.1 It shall be a breach of ethical standards for any employee with procurement authority to participate directly in any proceeding or application; request for ruling or other determination; claim or controversy; or other particular matter pertaining to any contract, or subcontract, and any solicitation or Proposal therefore, in which to his knowledge.

6.14.1.1 He, or any member of his immediate family has a financial interest therein; or

6.14.1.2 A business or organization in which he or any member of his immediate family has a financial interest as an officer, director, trustee, partner, or employee, is a party: or

6.14.1.3 Any other person, business, or organization with whom he or any member of his immediate family is negotiating or has an arrangement concerning prospective employment is a party. Direct or indirect participation shall include but not be limited to involvement through decision, approval, disapproval, recommendation, preparation of any part of a purchase request, influencing the content of any specification or purchase standard, rendering of advice, investigation, auditing, or in any other advisory capacity.

#### **6.14.2**

It shall be a breach of ethical standards for any person to offer, give, or agree to give any employee or former employee, or for any employee or former employee to solicit, demand, accept, or agree to accept from another person, a gratuity or an offer of employment, in connection with any decision, approval, disapproval, recommendation, preparation of any part of a purchase request, influencing the content of any specification or purchase standard, rendering of advice, investigation, auditing, or in any other advisory capacity in any proceeding or application, request for ruling or other determination, claim or controversy, or other particular matter, pertaining to any contract or subcontract and any solicitation or Proposal therefore.

6.14.3 It is a breach of ethical standards for any payment, gratuity, or offer of employment to be made by or on behalf of a subcontractor under a contract to the prime contractor or higher tier subcontractor or any person associated therewith, as an inducement for the award of a subcontract or order.

6.14.4 The prohibition against conflicts of interest and gratuities and kickbacks shall be conspicuously set forth in every local public agency written contract and solicitation therefor.





6.14.5 It shall be a breach of ethical standards for any public employee or former employee knowingly to use confidential information for his actual or anticipated personal gain, or the actual or anticipated personal gain of any other person.

6.15 **Violations of and Compliance with Kentucky Law.** Per KRS 45A.485, Contractor shall reveal any final determination of a violation by the Contractor or subcontractor within the previous five (5) year period pursuant to KRS Chapters 136, 139, 141, 337, 338, 341 and 342 that apply to the Contractor or subcontractor. The Contractor shall be in continuous compliance with the provisions of KRS Chapters 136, 139, 141, 337, 338, 341 and 342 that apply to the Contractor or subcontractor for the duration of the contract.

6.16 **Suspension or Debarment.** Suspension or debarment of a vendor may occur as a result of a pattern of noncompliance or a single instance of flagrant noncompliance with the terms and conditions of LMG's policies, procedures, program guidelines or agreement(s). If suspended or debarred, the vendor shall be removed from any contracting opportunities and payments. Information on types of violations that warrant suspension or debarment and an appeal process for the vendor is available at [www.louisvilleky.gov/purchasing](http://www.louisvilleky.gov/purchasing).

6.17 **Discrimination.** The contractor agrees that in the performance of this agreement with the Metro Government, he/she will not discriminate against any workers because of race, creed, color, religion, national origin, handicap, sex, sexual orientation or gender identity and will comply with all applicable Federal, State or local laws and regulation prohibiting such discrimination. The aforesaid provision shall include, but not be limited to the following: Employment and upgrading, demolition or transfer, recruitment and recruitment advertising, lay-off or termination, rates of pay or other forms of compensation, selection for training including apprenticeship. The contractor agrees to post thereafter in conspicuous places, available for employees and all applicants for employment, notices setting forth the provisions of the above non-discrimination clause. The contractor further agrees to insert the foregoing provision in all sub-contracts hereunder.

## **7 Insurance and Hold Harmless Agreement**

7.1 **Hold Harmless and Indemnification Clause.** The Contractor shall indemnify, hold harmless, and defend the Louisville/Jefferson County Metro Government, its elected and appointed officials, employees, agents and successors in interest from all claims, damages, losses and expenses including attorneys' fees, arising out of or resulting, directly or indirectly, from the Contractor's (or Contractor's Subcontractors, if any) performance or breach of the contract provided that such claim, damage, loss, or expense is: (1) attributable to personal injury, bodily injury, sickness, death, or to injury to or destruction of property, including the loss of use resulting therefrom, or breach of contract, and (2) not caused by the negligent act or omission or willful misconduct of the Louisville/Jefferson County Metro Government or its elected and appointed officials and employees acting within the scope of their employment. This Hold Harmless and Indemnification Clause shall in no way be limited by any financial responsibility or insurance requirements and shall survive the termination of this Contract.



## 7.2 Insurance Requirements.

7.2.1 Prior to commencing work, Contractor shall obtain at its own cost and expense the following types of insurance through insurance companies licensed in the State of Kentucky. Insurance written by non-admitted carriers will also be considered acceptable, in accordance with Kentucky Insurance Law (KRS 304.10-040). Workers' Compensation written through qualified group self-insurance programs in accordance with Kentucky Revised Statutes (KRS 342.350) will also be acceptable. The Contractor shall not commence work under this Contract until all insurance required under the Contract Document has been obtained and until copies of policies or certificates thereof are submitted to Metro Government and approved by the Metro Government's Risk Management Division. The Contractor shall not allow any subcontractor to commence work until the insurance required of such subcontractor has been obtained and copies of Certificates of Insurance retained by Contractor evidencing proof of coverages.

7.2.2 Without limiting Contractor's indemnification requirements, it is agreed that Contractor shall maintain in force at all times during the performance of this agreement the following policy or policies of insurance covering its operations, and require subcontractors, if subcontracting is authorized, to procure and maintain these same policies until final acceptance of the work by the Metro Government. Metro Government may require Contractor to supply proof of subcontractor's insurance via Certificates of Insurance, or at Metro Government's option, actual copies of policies.

7.2.3 The following clause shall be added to the Contractor's (and approved subcontractors) Commercial General Liability Policies:

7.3 "The Louisville/Jefferson County Metro Government, along with its elected and appointed officials, employees, agents, successors, agencies, departments, affiliates, and assigns are included as and deemed an "Additional Insured" with respect to the operations of the Named Insured performed under this contract."

7.3.1 The insurance to be procured and maintained and minimum Limits of Liability shall be as follows, unless different limits are specified by addendum to the contract (and such minimum limits shall not limit access to the full amount of insurance available (whether through primary, excess or umbrella policies) on the contractors or subcontractors policy(ies), if that/those policy(ies) provide for Limits above the minimum):





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- a. **COMMERCIAL GENERAL LIABILITY:** via the Occurrence Form, primary and non-contributory, with a \$1,000,000 Combined Single Limit for any one Occurrence and \$2,000,000 aggregate for Bodily Injury, Personal Injury and Property Damage and Products/Completed Operations, including:
    - i. Premises - Operations Coverage
    - ii. Products and Completed Operations
    - iii. Contractual Liability
    - iv. Broad Form Property Damage
    - v. Independent Contractors Protective Liability
    - vi. Personal Injury
  - b. **WORKERS' COMPENSATION** (if applicable): insuring the employers' obligations under Kentucky Revised Statutes Chapter 342 at Statutory Limits, and **EMPLOYERS' LIABILITY** - \$100,000 Each Accident/\$500,000 Disease - Policy Limit/\$100,000 Disease - Each Employee.
  - c. **AUTOMOBILE LIABILITY:** insuring all Owned, Non-Owned and Hired Motor Vehicles. The minimum coverage Liability Limit is \$1,000,000 Combined Single Limit for any one accident. The Limit of Liability may be subject to increase according to any applicable State or Federal Transportation Regulations.

**7.4 ACCEPTABILITY OF INSURERS:** Insurance is to be placed with Insurance Companies with an A. M. Best Rating of no less than "A- VI", unless proper financial information relating to the Company is submitted to and approved by Metro Government's Risk Management Division.

## **7.5 MISCELLANEOUS**

7.5.1 The Contractor shall procure and maintain insurance policies and shall furnish Certificates of Insurance upon the execution of the Contract. The Certificates shall include the name and address of the person executing the Certificate of Insurance as well as the person's signature. If policies expire before the completion of the Contract, renewal Certificates of Insurance shall be furnished to Metro Government at least fifteen (15) days prior to the expiration of any policy(s).

- a. Upon execution of the contract, Certificates of Insurance as required above shall be furnished to:

Louisville/Jefferson County Metro Government  
Office of Management and Budget  
Procurement Department  
611 West Jefferson Street  
Louisville, Kentucky 40202



- b. **CANCELLATION OR MATERIAL CHANGE OF COVERAGE:** Contractor shall notify Metro Government's Risk Management Division of any policy cancellation within two business days of its receipt of same. Upon any material change (changes that reduce/restrict limit or terms and conditions to your insurance coverage) in coverage as required above, Contractor shall notify Metro Government's Risk Management Division within two business days. If Contractor fails to notify Metro Government as required by this Agreement, Contractor agrees that such failure shall be a breach of this Agreement. Metro Government reserves the right to require the insurance policy(s) required above to be specifically endorsed to provide notice of cancellation and/or material change of coverage in accordance with policy provisions. When requested by the Metro Government, a copy of the policy endorsement shall be provided to Metro Government's Risk Management Division.
- c. Approval of the insurance by Metro Government shall not in any way relieve or decrease the liability of the Contractor hereunder. It is expressly understood that Metro Government does not in any way represent that the specified Limits of Liability or coverage or policy forms are sufficient or adequate to protect the interest or liabilities of the Contractor.

**All insurance requirements including performance and payment bonds shall be furnished the day a contract issued pursuant to this Proposal is awarded.**

## **8 General Provisions**

**8.1 Severability.** If any court of competent jurisdiction holds any provision of this Agreement unenforceable, such provision shall be modified to the extent required to make it enforceable, consistent with the spirit and intent of this Agreement. If such a provision cannot be so modified, the provision shall be deemed separable from the remaining provisions of this Agreement and shall not affect any other provision hereunder.

**8.2 Counterparts.** This Agreement may be executed in counterparts, in which case each executed counterpart shall be deemed an original and all executed counterparts shall constitute one and the same instrument.

**8.3 Calculation of Time.** Unless otherwise indicated, when the performance or doing of any act, duty, matter, or payment is required hereunder and a period of time or duration for the fulfillment of doing thereof is prescribed and is fixed herein, the time shall be computed so as to exclude the first and include the last day of the prescribed or fixed period of time. For example, if on January 1, Consultant is directed to take action within ten (10) calendar days, the action must be completed no later than midnight, January 11.

**8.4 Captions.** The captions and headings of this Agreement are for convenience and reference purposes only and shall not affect in any way the meaning and interpretation of any provisions of this Agreement.



**8.5 Payment.** Unless otherwise agreed to in writing by the Metro Government, services shall be rendered and payment therefor shall be made at monthly intervals throughout the duration of this Agreement. Payment shall only be made pursuant to a detailed invoice presented monthly, which invoice shall indicate a descriptive daily accounting of the hours expended in service under the contract, the particular nature of such service and out-of-pocket expenses. Copies of invoices or receipts for out-of-pocket expenses and other third-party charges must be included with the Consultant's invoice when payment is requested. In the event payment is made in lump sum at the end of the service period, Consultant's final invoice shall indicate a descriptive daily accounting of hours expended as described heretofore.

**8.6 Employer/Employee Relationship.** It is expressly understood that no employer/employee relationship is created by this Agreement nor does it cause Consultant to be an officer or official of the Metro Government. By executing this Agreement, the parties hereto certify that its performance will not constitute or establish a violation of any statutory or common law principle pertaining to conflict of interest, nor will it cause unlawful benefit or gain to be derived by either party.

#### **8.7 LMCO Louisville Metro Codified Ordinance Policy Certifications LMCO 112.30**

##### **8.7.1 Per LMCO 112.30(D):**

8.7.1.1 Vendors who apply for business with Louisville Metro must follow the practice that initial vendor employment applications not contain a "box" or a question of inquiry on the initial application regarding an applicant's prior criminal history and applicants shall not be required to check or otherwise fill in a "box" or respond to an inquiry regarding an applicant's prior criminal history on the vendor's initial employment application, unless as otherwise provided by or required by state and federal law.

8.7.1.2 Failure to adhere to this requirement shall cause your submission not to be considered for award. Louisville Metro shall retain at all times the authority to deny, rescind, revoke, terminate or not renew a contract with a vendor for failing to comply with the standards established by LMCO 112.30.

#### **8.8 Requirements Regarding Sexual Assault and Sexual Harassment in the workplace, per LMCO 39.220-224:**

8.8.1 Per LMCO 39.222: An Organization executing a contract valued at **\$50K** or more ("Covered Entities" shall not execute any settlement agreement concerning workplace sexual assault, **sexual** harassment, failure to prevent same or which concern claims of retaliation against a person reporting such matters if such an agreement prevents or restricts the disclosure of factual information related to such claims filed in a civil action or a complaint filed in an administrative action.

**8.8.2 Reporting Requirements. Per LMCO 39.221:** Each covered entity shall



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annually report to Louisville Metro internal complaints of workplace sexual harassment and sexual assault by employees who reside or work in Louisville/Jefferson County. The report shall be submitted to the Office of Equity.

**8.8.3 Nondisclosure Agreements. Per LMCO 39.223:** No covered entity shall, in exchange for a raise or bonus, or as a condition of employment or continued employment, require an employee to sign any agreement that prohibits the disclosure of information about unlawful acts in the workplace. "Information about unlawful acts in the workplace" includes, but is not limited to, information pertaining to harassment or discrimination or any other conduct that the employee has reasonable cause to believe is unlawful.

## 8.9 Pricing

8.9.1 Contractor agrees that prices shall not change for the first year of that contract.

8.9.2 If a contract is renewed, all price increase requests after the first year must be submitted in writing to the Purchasing Division, 611 West Jefferson Street, Mezzanine Level, Louisville, KY 40202 or via email. Upon notification by the vendor of documented market increases, Contractor agrees that the Metro Government may either accept the price change or terminate the contract. Increases shall not be effective until the Metro Government's approval of them is received by the Contractor in writing.

8.9.3 Prices quoted shall be exclusive of the State and Federal Excise Tax, since the Metro Government is exempt from them.

8.9.4 Time discounts or cash discounts shall not be considered in Proposal evaluation.

8.9.5 Prices for any item shall not be contingent upon the purchase of any other Proposal item.

8.9.6 If 'approximate yearly usage' is supplied, it is only to aid vendors in preparation of Proposals and under no circumstances binds the Metro Government to purchase those amounts.

8.9.7 Contractor should show unit prices and extended prices (unit prices multiplied by the number of units proposed to be purchased).

8.9.8 Any government entity in Kentucky shall have the option of making purchases under a Price Contract executed under this Proposal.

8.9.9 If a price contract is awarded hereunder, the Contractor agrees the Metro Government may nonetheless issue a separate Proposal for the products or services which are the subject of this Proposal.



**8.10 Bribery Clause.** By his/her signature on the Proposal, Contractor certifies that none of its employees, any affiliate or Subcontractor, have bribed or attempted to bribe an officer or employee of the Metro Government.

### **8.11 Invoicing Requirements**

#### Proper Invoice

For an invoice to be a proper invoice the requirements must be set as forth in the agreement or contract; however, in addition, no invoice submitted by Supplier/Contractor will be considered a proper invoice unless the invoice is an original invoice, delivered to the Louisville Metro Government in accordance with the purchase order, and containing the following additional information:

- a. Purchase Order under which the purchase was made.
- b. Name of Louisville Metro Government Agency and Requestor initiating purchase;
- c. Invoice date.
- d. Vendor Name, Address, and Contact Information, including remittance if different;
- e. Unique invoice number;
- f. Account number or other identifying number agreed to by contract (if applicable);
- g. Description of goods, services or property provided to the Louisville Metro Government;
- h. Date good, services, or property were provided to the Louisville Metro Government;
- i. The quantity, unit and total price of the goods, services, or property provided to Louisville Metro Government matching the contractual amounts including discount percentages, if applicable.
- j. No shipping costs or fuel surcharges unless specified in the solicitation; Louisville Metro Government is not subject to sales tax.
- k. Applicable discount payment terms.

### **8.12 Invoice Submittal**

- a. Louisville Metro Government accepts e-Invoices. The electronic submission of invoices expedites review and payment processing. Invoices are currently accepted in .PDF, .XLS, .XLSX, .DOC, .DOCX, and .TXT file formats. Please submit your invoice as an attachment in one of the above referenced format. Only one invoice attached to each email. Send to [invoices.omb@louisvilleky.gov](mailto:invoices.omb@louisvilleky.gov).

- i. If unable to send invoices electronically, mail to:  
Accounts Payable  
611 West Jefferson Street.  
Louisville, KY 40202

All Statements of Account must be submitted by mail.

- b. Payment Remittance





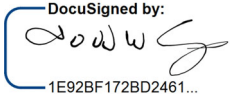
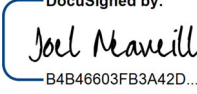
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c. Payments will be made by check unless other methods are requested. Other payment options include e-payable and P-card. Contact Accounts Payable at 502-574-3402 or [accountspayable@louisvilleky.gov](mailto:accountspayable@louisvilleky.gov) for more information.



This Contract (including any Exhibits) constitutes the entire agreement of the parties regarding the subject matter of this Contract and supersedes all prior and contemporaneous agreements and understandings, whether written or oral, relating to such subject matter. This Contract may be altered, amended, or modified only by a written instrument signed by the duly authorized representatives of both parties.

In witness whereof, the parties have caused a duly authorized representative to execute this Contract on the date set forth below.

<b>CAROUSELS AND CARVINGS, INC.</b>	<b>LOUISVILLE/JEFFERSON COUNTY/METRO GOVERNMENT</b>
<b>Signature:</b>  <small>DocuSigned by: 1E92BF172BD2461...</small>	<b>Signature:</b>  <small>DocuSigned by: B4B46603FB3A42D...</small>
<b>Signatory Name:</b> Todd Goings	<b>Signatory Name:</b> Joel Neaveill
<b>Title:</b> Pread	<b>Title:</b> Procurement Director
<b>Date:</b> 4/18/2025	<b>Date:</b> 4/21/2025

  
4/3/2025



<b>APPROVED AS TO FORM AND LEGALITY JEFFERSON COUNTY ATTORNEY</b>	
<b>Signature:</b>	<div>Signed by: <i>Natalie Johnson</i> 4870BB987F0A40E...</div>
<b>Signatory Natalie Johnson</b>	
<b>Title: ASSISTANT JEFFERSON COUNTY ATTORNEY</b>	
<b>Date:</b>	4/21/2025

## ATTACHMENT A LMCO 39.220

### Louisville Metro Codified Ordinance Policy Requirement - Chapter 39.220-224

**Requirements for Organizations Receiving Contracts Valued at \$50,000 or More: 1. Prohibition Against Settlement Agreements Related to Workplace Sexual Assault or Harassment; 2. Nondisclosure Agreements that Prohibit the Release of Information Related to Unlawful Acts in the Workplace.**

The Louisville Metro Government, in LMCO 39.220-224, has enacted the following requirements for “covered entities” (per LMCO 39.220, entities which have a contract or Tax Increment Financing Incentive valued over \$50,000):

**Reporting Requirements**

(A) Each covered entity shall annually report to Louisville Metro internal complaints of workplace sexual harassment and sexual assault by *employees who reside or work in Louisville/Jefferson County*. The report shall be submitted to the Office of Equity. The report shall contain the following:

- (1) The number of such complaints that were filed;
- (2) Of those complaints in paragraph (1) of this subdivision, the number of complaints resolved;
- (3) Of those complaints in paragraph (2) of this subdivision, the number of complaints which the covered entity investigated and deemed sexual harassment or sexual assault to have occurred;
- (4) Of those complaints in paragraph (2) of this subdivision, the number of complaints which the covered entity investigated and deemed sexual harassment or sexual assault to have not occurred;
- (5) Of the complaints in paragraph (2) of this subdivision, the number of complaints which the covered entity investigated but ultimately deemed inconclusive; and
- (6) The number of complaints that were closed because the complaint was withdrawn by the reporting individual prior to a final determination.

**Prohibited Settlement Agreements**

(A) No covered entity may enter into a settlement agreement that prevents or restricts the disclosure of factual information related to a claim filed in a civil action or a complaint filed in an administrative action regarding the following:

- (1) An act of workplace sexual assault;
- (2) An act of workplace sexual harassment;
- (3) Failure to prevent an act of workplace sexual assault or sexual harassment, or retaliation against a person for reporting or opposing workplace sexual assault or sexual harassment.

(B) Notwithstanding the restrictions in subsection (A), an employee with a claim for workplace sexual assault, workplace sexual harassment, failure to prevent workplace sexual assault or sexual harassment or retaliation for reporting or opposing workplace sexual assault or sexual harassment may voluntarily enter into a settlement agreement shielding the identity of the employee as well as factual information relating to the employee's claim if:

- (1) The employee wants the information to remain withheld or confidential;
- (2) The employee has consulted with an attorney;
- (3) The employee is receiving additional compensation; and
- (4) The employee has a period of time to contemplate the agreement and change their mind no less than ten days.

(C) Nothing in this section shall prohibit the entry or enforcement of a provision in any agreement that precludes the disclosure of the amount paid in settlement of a claim.

**Nondisclosure Agreements**

No covered entity shall, in exchange for a raise or bonus, or as a condition of employment or continued employment, require an employee to sign any agreement that prohibits the disclosure of information about unlawful acts in the workplace. This section does not prohibit the inclusion of a general release or waiver in an agreement related to an employee's separation from employment, provided that the release or waiver is otherwise lawful and valid. As used in this section "information about unlawful acts in the workplace" includes, but is not limited to, information pertaining to harassment or discrimination or any other conduct that the employee has reasonable cause to believe is unlawful.

**Violations Of This Section**

Any covered entity which enters into a settlement agreement or requires a nondisclosure agreement in violation of this section shall, upon the determination that the covered entity has violated this section, become ineligible for contracting with, receiving TIF incentives, receiving any other financial incentives, or receiving a grant or general budget funding from Metro Government for a period of five years. Additionally, any covered entity which enters into a settlement agreement or requires a nondisclosure agreement in violation of this section shall be considered to be in breach of its contract with Louisville Metro and shall be liable to Louisville Metro for liquidated damages in the amount of 10% of the amount of the contract, TIF incentive, financial incentive, or grant of funds awarded to the covered entity by Metro Government. This clause shall be included in all contracts or agreements entered by Metro Government after the effective date of this subchapter and shall not constitute the total amount of damages recoverable by Metro should an additional breach outside of the violations contained in the section occur.



**LOUISVILLE METRO CODIFIED ORDINANCE POLICY CERTIFICATIONS****Prohibiting The City or Its Vendors from Engaging in Certain Hiring Policies and Practices Against Persons Previously Convicted of Crimes**

The Louisville Metro Government, in Louisville Metro Codified Ordinance ("LMCO") Section 112.30, prohibited vendors from engaging in certain practices against persons previously convicted of crimes. Specifically, per LMCO 112.30(D):

(1) Vendors who apply for business with the City must follow the practice that initial vendor employment applications not contain a "box" or a question of inquiry on the initial application regarding an applicant's prior criminal history and applicants shall not be required to check or otherwise fill in a "box" or respond to an inquiry regarding an applicant's prior criminal history on the vendor's initial employment application, *unless as otherwise provided by or required by state and federal law.*

(2) The standards established in this chapter and the criminal history policies shall be a part of the evaluation criteria when awarding City contracts. The City shall retain at all times the authority to deny, rescind, revoke, terminate or not renew a contract with a vendor for failing to comply with the standards established in this section.

*Exceptions.* The requirements under this section are not applicable under certain conditions. Please indicate if one or more of the following conditions apply:

1. ☐ Vendor employees are involved with the transfer and handling of cash amounts in excess of \$500 or that involve major fiduciary responsibilities (e.g. employees charged with investing funds, accounting, auditing, etc.)
2. ☐ Vendor employees are involved with access to confidential information, including but not limited to, social security numbers, bank account information, credit card information, or other combination of information that could be used for identity theft or related criminal activity.
3. ☐ Vendor employees are involved or may involve unsupervised access to children or minors under the age of 16, developmentally disabled persons or vulnerable adults.
4. ☐ Vendor employs positions with unsupervised access to homes of residents, in which they work alone without direct supervision, or they do not work in pairs or in teams of employees.
5. ☐ Employment positions or categories as may be required by law.
6. ☐ Vendor is prohibited by federal, state and/or local law from hiring an individual with a felony, which requires an applicant's conviction history.
7. ☐ Vendor is engaging with Louisville Metro Government through a sole source contract.

, affirms that it follows the practice set forth in LMCO 112.30 or that an exception applies as indicated herein. In addition, the Contractor understands and agrees that the provisions of LMCO 112.30(D)(2), applies to this certification.

  
\_\_\_\_\_  
Signature of Contractor's Authorized Official

VANNEEDA KEOWMANG-GOINGS, OFFICE MANAGER  
\_\_\_\_\_  
Name and Title of Contractor's Authorized Official

3/11/2025  
\_\_\_\_\_  
Date